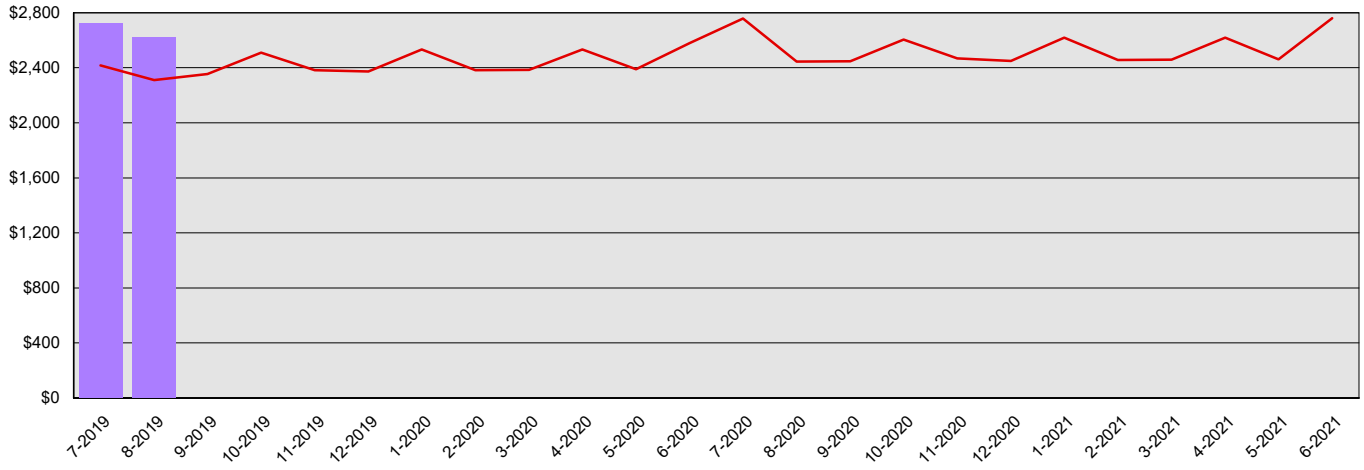


# Department of Financial Institutions Summary Financial Report for 2019-21 Biennium to Date

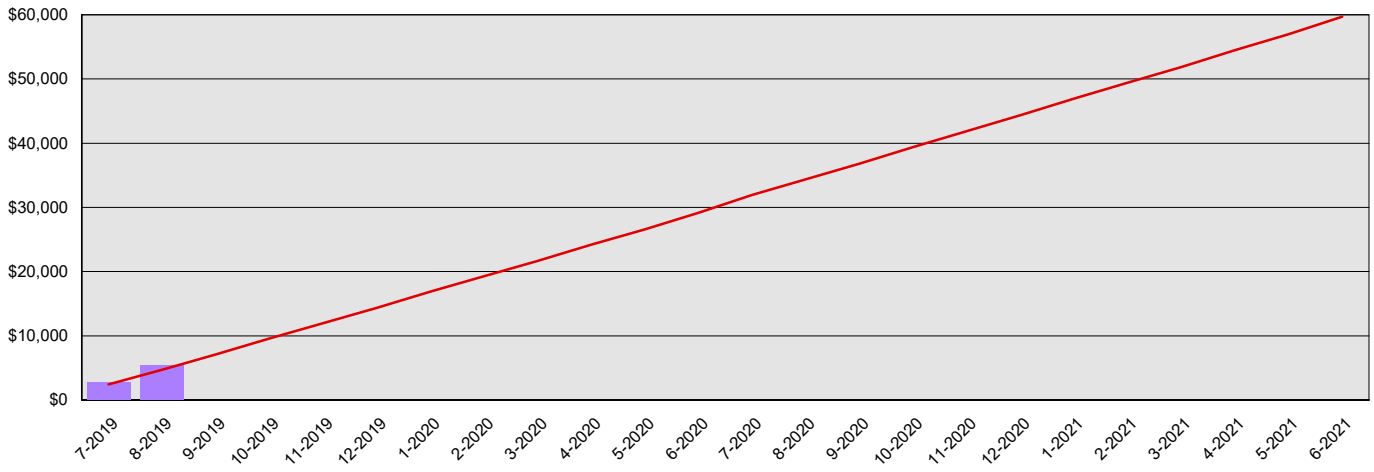
Dollars in Thousands

All Funds Variance to Date	\$610 Overexpenditure	12.9% Overexpenditure
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**Monthly Planned vs. Actual Expenditures - All Funds**



**Planned vs. Actual Cumulative Expenditures - All Funds**



Actuals (Vertical Bars)



Estimates (line)



Department of Financial Institutions  
**Summary Financial Report for 2019-21 Biennium to Date**

Dollars in Thousands

**Program/Fund Expenditure Detail**

<b>Expenditures by Program</b>	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
Administration	\$875	\$1,494	(\$619)	-70.7%
Banks	\$687	\$716	(\$29)	-4.2%
Credit Unions	\$524	\$554	(\$30)	-5.7%
Securities	\$1,042	\$1,006	\$36	3.5%
Consumer Services	\$1,602	\$1,570	\$32	2.0%
<b>Total</b>	<b>\$4,730</b>	<b>\$5,340</b>	<b>(\$610)</b>	<b>-12.9%</b>
<b>Expenditure by Fund Group</b>	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
Other Funds Non-Appropriated	\$4,729	\$5,339	(\$610)	-12.9%
<b>Total</b>	<b>\$4,729</b>	<b>\$5,339</b>	<b>(\$610)</b>	<b>-12.9%</b>
<b>FTEs by Program</b>	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
Administration	39.9	37.7	2.2	5.5%
Banks	30.2	27.2	3.0	9.9%
Credit Unions	21.0	20.9	0.1	0.5%
Securities	44.1	44.1	0.0	0.0%
Consumer Services	74.5	70.9	3.6	4.8%
<b>Total</b>	<b>209.7</b>	<b>200.8</b>	<b>8.9</b>	<b>4.2%</b>

1 Estimates include the OFM Official Allotment plus Unanticipated Receipts

2 Only includes Accounts for the Administering Agency

Negative Variance - Denotes Possible Problem

Department of Financial Institutions  
**Summary Financial Report for 2019-21 Biennium to Date**

Dollars in Thousands

Fund	Revenue Detail			
	Estimate <sup>1</sup>	Actual	Variance	% Var.
General Fund	\$6,911	\$5,757	(\$1,154)	-16.7%
Securities Prosecution Account	\$0	\$13	\$13	0.0%
Mortgage Lending Fraud Prosecution Account	\$54	\$60	\$6	11.1%
Financial Services Regulation Account	\$6,998	\$5,703	(\$1,295)	-18.5%
<b>Total</b>	<b>\$13,963</b>	<b>\$11,533</b>	<b>(\$2,430)</b>	<b>-17.4%</b>

Fund Group	Revenue by Fund Group			
	Estimate <sup>1</sup>	Actual	Variance	% Var.
General Fund State	\$6,911	\$5,757	(\$1,154)	-16.7%
Other Funds State	\$7,052	\$5,776	(\$1,276)	-18.1%
<b>Total</b>	<b>\$13,963</b>	<b>\$11,533</b>	<b>(\$2,430)</b>	<b>-17.4%</b>

Fund	Fund Balances Showing Deficits <sup>2</sup>	
	BTD Balance	Proj. Balance
Financial Services Regulation Account	(\$5,301)	(\$604)

1 Estimates include the OFM Official Allotment plus Unanticipated Receipts

2 Only includes Accounts for the Administering Agency

Negative Variance - Denotes Possible Problem