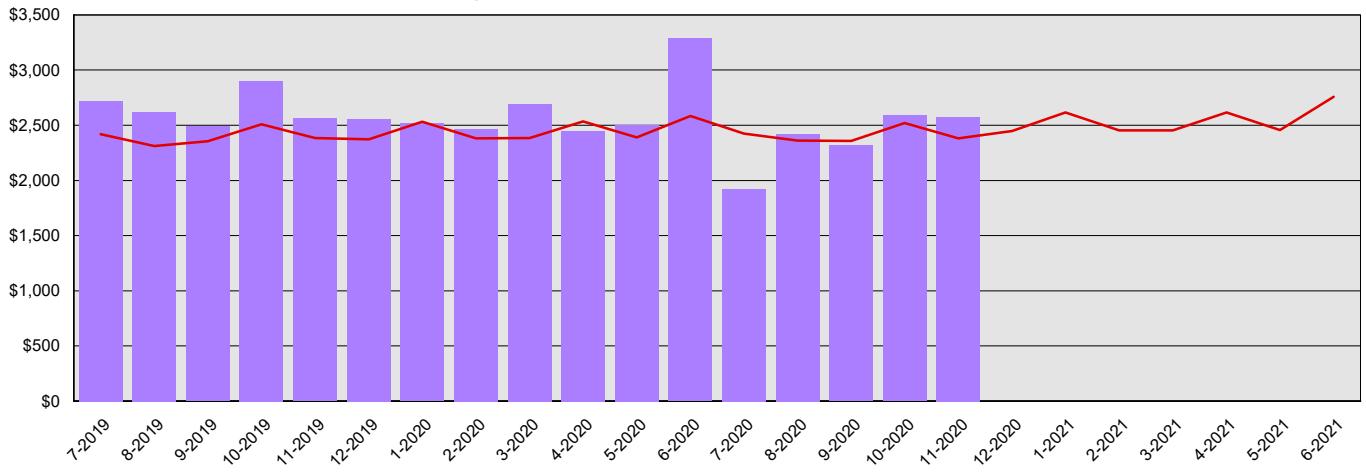


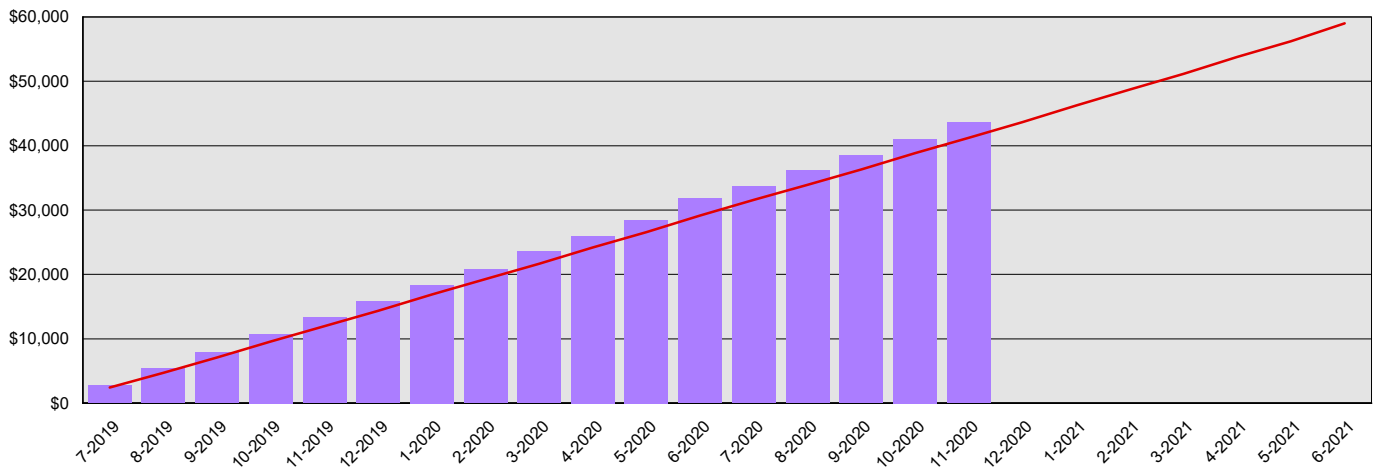
Department of Financial Institutions  
**Summary Financial Report for 2019-21 Biennium to Date**  
Dollars in Thousands

All Funds Variance to Date	\$2,387 Overexpenditure	5.8% Overexpenditure
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**Monthly Planned vs. Actual Expenditures - All Funds**



**Planned vs. Actual Cumulative Expenditures - All Funds**



Actuals (Vertical Bars)



Estimates (line)



1/5/2021

Department of Financial Institutions  
Summary Financial Report for 2019-21 Biennium to Date

Dollars in Thousands

**Program/Fund Expenditure Detail**

<b>Expenditures by Program</b>	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
Administration	\$7,534	\$10,591	(\$3,057)	-40.6%
Banks	\$6,281	\$5,551	\$730	11.6%
Credit Unions	\$4,490	\$4,414	\$76	1.7%
Securities	\$8,714	\$8,969	(\$255)	-2.9%
Consumer Services	\$14,179	\$14,059	\$120	0.8%
<b>Total</b>	<b>\$41,198</b>	<b>\$43,584</b>	<b>(\$2,386)</b>	<b>-5.8%</b>
<b>Expenditure by Fund Group</b>	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
Other Funds Non-Appropriated	\$41,198	\$43,585	(\$2,387)	-5.8%
<b>Total</b>	<b>\$41,198</b>	<b>\$43,585</b>	<b>(\$2,387)</b>	<b>-5.8%</b>
<b>FTEs by Program</b>	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
Administration	39.9	37.1	2.8	7.0%
Banks	30.2	25.6	4.6	15.2%
Credit Unions	21.0	21.0	0.0	0.0%
Securities	44.1	44.0	0.1	0.2%
Consumer Services	74.4	70.3	4.1	5.5%
<b>Total</b>	<b>209.6</b>	<b>198.0</b>	<b>11.6</b>	<b>5.5%</b>

1 Estimates include the OFM Official Allotment plus Unanticipated Receipts

2 Only includes Accounts for the Administering Agency

Negative Variance - Denotes Possible Problem

**Department of Financial Institutions**  
**Summary Financial Report for 2019-21 Biennium to Date**

Dollars in Thousands

<b>Fund</b>	<b>Revenue Detail</b>			
	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
General Fund	\$60,240	\$70,247	\$10,007	16.6%
Securities Prosecution Account	\$100	\$88	(\$12)	-12.0%
Mortgage Lending Fraud Prosecution Account	\$695	\$729	\$34	4.9%
Financial Services Regulation Account	\$48,265	\$47,413	(\$852)	-1.8%
<b>Total</b>	<b>\$109,300</b>	<b>\$118,477</b>	<b>\$9,177</b>	<b>8.4%</b>

<b>Fund Group</b>	<b>Revenue by Fund Group</b>			
	<b>Estimate<sup>1</sup></b>	<b>Actual</b>	<b>Variance</b>	<b>% Var.</b>
General Fund State	\$60,240	\$70,247	\$10,007	16.6%
Other Funds State	\$49,060	\$48,230	(\$830)	-1.7%
<b>Total</b>	<b>\$109,300</b>	<b>\$118,477</b>	<b>\$9,177</b>	<b>8.4%</b>

<b>Fund Balances Showing Deficits</b>			<sup>2</sup>
<b>Fund</b>	<b>BTB Balance</b>	<b>Proj. Balance</b>	
Financial Services Regulation Account	(\$7,751)	\$2,032	

1 Estimates include the OFM Official Allotment plus Unanticipated Receipts

2 Only includes Accounts for the Administering Agency

Negative Variance - Denotes Possible Problem