

2015-17 Biennium Budget Decision Package

Agency: 4670 - Recreation and Conservation Office

Decision Package Code/Title: Small Agency Financial Services Adjustment

Budget Period: 2015-2017 Biennium, Supplemental FY2017

Budget Level: M2 – Maintenance Level - Inflation and Other Rate Changes – M2-AA

Agency Recommendation Summary Text: The Recreation and Conservation Office is requesting a funding adjustment to correct a duplicate reduction to the agency related to the Small Agency Financial Services (SAFS) adjustment. This is a required technical correction.

Fiscal Summary: Decision package total dollar and FTE cost/savings by year, by fund, for 4 years. Additional fiscal details are required below.

Operating Expenditures	FY 2017
Fund 001-1	\$78,000
Fund 267-1	\$117,000
Total Cost	\$195,000
Staffing	FY 2017
FTEs	0.0
Revenue	FY 2017
Fund 001-1	0.0
Object of Expenditure	FY 2017
Obj. E	\$195,000

Package Description

In FY2011 the Recreation and Conservation Office (RCO) was required to implement the Small Agency Client Services (SACS) Directive. This required our agency to reduce funding by one accounting staff, reduce the associated FTE and to start using SACS accounting services. SACS was later renamed to Small Agency Financial Services (SAFS). This reduction was then biennialized in biennium 11-13.

Effective October 1, 2015 RCO was given approval by the Office of Financial Management to stop using, and paying for, SAFS. This came about because RCO implemented a new online bill pay system for grant payments that freed up staff time to do the accounting services and at less cost. RCO had planned to use the savings to offset another anticipated funding cut.

Unfortunately in the RCO FY2016 supplemental budget, central services, Item 92K – Small Agency Financial Services had a reduction for RCO related to SAFS thus not allowing RCO to assume the savings to offset other funding cuts. This decision package seeks to reverse this secondary reduction with this required technical correction.

If you have any questions, please contact Scott Robinson, at (360) 902-0207.

Base Budget: If the proposal is an expansion or alteration of a current program or service, provide information on the resources now devoted to the program or service.

This decision package is not an expansion or alternation, but a required technical correction.

Decision Package expenditure, FTE and revenue assumptions, calculations and details:

This decision package is a required technical correction, based on the 2016 supplemental budget.

Decision Package Justification and Impacts

What specific performance outcomes does the agency expect?

Describe and quantify the specific performance outcomes the agency expects as a result of this funding change.

Specifically the agency expects the reversal of the 2016 supplemental adjustment for the Small Agency Financial Services. The outcome is that the agency will see a technical correction that has a positive impact in A002 – Manage Recreation and Conservation Investments. Correcting this error will allow the agency to continue to manage the investments passed through the agency.

Performance Measure detail:

Fully describe and quantify expected impacts on state residents and specific populations served.

None, this is a required technical correction.

What are other important connections or impacts related to this proposal? Please complete the following table and provide detailed explanations or information below:

Impact(s) To:		Identify / Explanation
Regional/County impacts?	No	Identify:
Other local gov't impacts?	No	Identify:
Tribal gov't impacts?	No	Identify:
Other state agency impacts?	No	Identify:
Responds to specific task force, report, mandate or exec order?	No	Identify:
Does request contain a compensation change?	No	Identify:

Does request require a change to a collective bargaining agreement?	No	Identify:
Facility/workplace needs or impacts?	No	Identify:
Capital Budget Impacts?	No	Identify:
Is change required to existing statutes, rules or contracts?	No	Identify:
Is the request related to or a result of litigation?	No	Identify lawsuit (please consult with Attorney General's Office):
Is the request related to Puget Sound recovery?	No	If yes, see budget instructions Section 14.4 for additional instructions

Identify other important connections

Please provide a detailed discussion of connections/impacts identified above.

This decision package is a required technical correction.

What alternatives were explored by the agency and why was this option chosen?

N/A

What are the consequences of not funding this request?

This decision package is a required technical correction.

How has or can the agency address the issue or need in its current appropriation level?

This decision package is a required technical correction.

- *Is this package a high-enough priority that the agency has or would reprogram current resources to accomplish it?*
- *If yes, what programs or services were/would be reprogrammed? How? With what consequences?*
- *What process improvements and best practices have been or will be undertaken to improve the efficiency and effectiveness of the process/program affected by this proposal?*

Other supporting materials: Please attach or reference any other supporting materials or information that will help analysts and policymakers understand and prioritize your request.

Information technology: Does this Decision Package include funding for any IT-related costs, including hardware, software, services (including cloud-based services), contracts or IT staff?

No 

Yes Continue to IT Addendum below and follow the directions on the bottom of the addendum to meet requirements for OCIO review.)

2017-19 IT Addendum

Part 1: Itemized IT Costs

Please itemize any IT-related costs, including hardware, software, services (including cloud-based services), contracts (including professional services, quality assurance, and independent verification and validation), or IT staff. Be as specific as you can. (See chapter 12.1 of the operating budget instructions for guidance on what counts as "IT-related costs")

Information Technology Items in this DP (insert rows as required)	FY 2018	FY 2019	FY 2020	FY 2021
Item 1	xxx	yyy	zzz	aaa
Item 2	xxx	yyy	zzz	aaa
Total Cost	Enter Sum	Enter Sum	Enter Sum	Enter Sum

Part 2: Identifying IT Projects

If the investment proposed in the decision package is the development or acquisition of an IT project/system, or is an enhancement to or modification of an existing IT project/system, it will also be reviewed and ranked by the OCIO as required by RCW 43.88.092. The answers to the three questions below will help OFM and the OCIO determine whether this decision package is, or enhances/modifies, an IT project:

- Does this decision package fund the development or acquisition of a new or enhanced software or hardware system or service? Yes No
- Does this decision package fund the acquisition or enhancements of any agency data centers? (See [OCIO Policy 184](#) for definition.) Yes No
- Does this decision package fund the continuation of a project that is, or will be, under OCIO oversight? (See [OCIO Policy 121](#).) Yes No

If you answered "yes" to any of these questions, you must complete a concept review with the OCIO before submitting your budget request. Refer to chapter 12.2 of the operating budget instructions for more information.

2017 Supplemental Budget Decision Package

Agency: 4670 - Recreation and Conservation Office

Decision Package Code/Title: HR – Provide Essential Human Resources Services

Budget Period: 2017 Supplemental

Budget Level: PL - Policy/Performance level – PL-AB

Agency Recommendation Summary Text: The Recreation and Conservation Office (RCO) will purchase human resource (HR) services considered essential to the agency in supporting agency business needs and reducing risk from the Department of Enterprise Services (DES). A separate request seeks to restore basic level HR services to all small agencies. This proposal seeks to augment the basic level with a more complete set of HR services including consultation and support for labor relations, performance management, classification, workforce management, and recruitment

Fiscal Summary: Decision package total dollar and FTE cost/savings by year, by fund, for 4 years. Additional fiscal details are required below.

Operating Expenditures	FY 2017	FY 2018	FY 2019	FY 2020
Fund 001-1	\$5,000	\$5,000	\$5,000	\$5,000
Fund 267-1	\$11,000	\$12,000	\$12,000	\$12,000
Total Cost	\$16,000	\$17,000	\$17,000	\$17,000
Staffing	FY 2017	FY 2018	FY 2019	FY 2020
FTEs	0.0	0.0	0.0	0.0
Revenue	FY 2017	FY 2018	FY 2019	FY 2020
Fund 001-1	0.0	0.0	0.0	0.0
Object of Expenditure	FY 2017	FY 2018	FY 2019	FY 2020
Obj. E	\$16,000	\$17,000	\$17,000	\$17,000

Package Description

Small agencies have had access to dedicated HR specialists necessary to support their needs through DES. Purchasing these services from DES costs a fraction of what it would to replicate these services in each agency. Providing small agencies access to these professional services significantly reduces financial and legal risk to the state. The enacted FY 15-17 budget reduced funding equivalent to the cost of providing small agency HR services. While DES implemented additional efficiencies and identified temporary means to close the remaining fiscal gap in FY16, a more sustainable solution is required to ensure small agencies have access to these vital services.

DES has worked with the Office of Financial Management to create two categories of HR services:

- A. A set of basic HR services necessary to meet minimum HR compliance and accurate payroll processing activities for any agency. The proposal to fund these minimal basic services for all small agencies is proposed in a separate package.

- B. A set of additional HR services that, together with the basic service, form a more complete set of essential HR services. For a number of agencies, the basic level of service will not be sufficient to address specific agency business needs or to manage legal and financial risk.

This decision package requests funding these additional essential services. This would allow RCO to continue to receive the following services as needed:

- **Life Cycle Recruitment Services** – Services include pre-recruitment consultation, writing of targeted job announcements, candidate outreach, in-depth application assessment, drafting interview questions, reference checking and guidance of offers.
- **Classification Services** – Determine position allocations and provide employee notification letters for appointing authority. Provide consultation on the development of position descriptions. Provide desk audits when needed. Assist with WMS and EMS evaluations and banding.
- **Labor and Employee Relations** – Provide rules guidance and interpretation for represented and non-represented state employees to ensure the agency creates a positive and respectful work environment.
- **Performance Management** – Consultation on performance appraisals and setting expectations. Consult on corrective and disciplinary actions; assist with documentation and processing including development of disciplinary letters. Provide tools and checklists.
- **Workforce Management Support** – Assist with “just in time” HR projects such as temporary layoffs and hiring freezes and changes that occur in Washington Management Service.
- **Human Resource Consultation** - Provide the agency with an HR consultant to discuss current HR topics and keep the agency informed on HR issues at monthly meetings, or as needed.
- **Investigations/Fact Finding** – Provide immediate employee complaint intake to determine next steps. Perform personnel related investigations and fact-finding for small to medium sized investigations (less than 20 hours).
- **Supervisor Coaching** – Provide best practices and guidance to new supervisors to ensure they set clear expectations and coach their staff to maximize agency performance.

Base Budget: If the proposal is an expansion or alteration of a current program or service, provide information on the resources now devoted to the program or service.

Funding for HR services is not currently part of the RCO or DES budget.

Decision Package expenditure, FTE and revenue assumptions, calculations and details:

DES queried small agencies to identify those needing access to services beyond the basic level. They then determined the cost of providing services based on agency responses. DES calculates the rate necessary to recover the cost of these essential services to be \$809/FTE for level three support. For the RCO FTEs, there will be \$16,000 yearly cost in the operating budget. At this funding level, this would be \$32,000 for the biennium.

Decision Package Justification and Impacts

What specific performance outcomes does the agency expect?

Describe and quantify the specific performance outcomes the agency expects as a result of this funding change.

Funding for this package supports the Results Washington goal of efficient, effective & accountable government, and allows agencies the opportunity to become an employer of choice that provides agencies the tools to create a culture of respect, feedback, and recognition. Shared services are an efficient use of state resources. Using the expertise of HR professionals will help the agency manage risk associated with personnel issues. Additionally, this package will allow agency staff to focus on the core mission of the agency and less on administrative activities.

Performance Measure detail:

Fully describe and quantify expected impacts on state residents and specific populations served.

Human resources support plays an essential role in developing the employee-centered activities at RCO. HR activities that support staff development and recruiting high quality employees will ensure better interaction with the citizens and partners our agency serves.

What are other important connections or impacts related to this proposal? Please complete the following table and provide detailed explanations or information below:

Impact(s) To:		Identify / Explanation
Regional/County impacts?	No	Identify:
Other local gov't impacts?	No	Identify:
Tribal gov't impacts?	No	Identify:
Other state agency impacts?	No	Identify:
Responds to specific task force, report, mandate or exec order?	No	Identify:
Does request contain a compensation change?	No	Identify:
Does request require a change to a collective bargaining agreement?	No	Identify:

Facility/workplace needs or impacts?	No	Identify:
Capital Budget Impacts?	No	Identify:
Is change required to existing statutes, rules or contracts?	No	Identify:
Is the request related to or a result of litigation?	No	Identify lawsuit (please consult with Attorney General's Office):
Is the request related to Puget Sound recovery?	No	If yes, see budget instructions Section 14.4 for additional instructions
Identify other important connections		

Please provide a detailed discussion of connections/impacts identified above.

What alternatives were explored by the agency and why was this option chosen?

1. Absorb the cost within existing budget – This would involve the agency purchasing the services from DES within its existing budget. This option reduces funds available to perform RCO's mission.
2. Go without these essential HR services or try to assign HR responsibilities to an existing employee with no additional funding - This would involve the agency doing the work with existing staff. This option reduces staff time available to perform the agency mission. All of our current employees are already specialized in their current roles and do not have the time nor the education/experience to take on the additional personnel responsibilities and execute them successfully. In this option, it is likely that the agency will be going without these services. This will increase risk to the agency beyond an acceptable level.
3. Request funding for a new HR professional within the agency - This is the most costly option but would provide some of the expertise and capacity needed to perform personnel functions. The kind of essential HR service support we are seeking from DES Small Agency HR services is professional level, expert support. If the agency were to hire someone in-house we would need to fill it at the Human Resource Consultant 4 (HRC4) level. The salary and benefits cost of a full time HRC4 plus related supplies, travel, and other costs is estimated at \$87,000 per year which is more than the proposed cost of the DES service offering. Our agency may not need a full time professional, but we will benefit from a full complement of HR expertise. The team at DES can provide more specialized expertise than is likely available in any one individual.
4. Request funding to purchase HR services from DES. – Recommended – The DES Small Agency HR Support option is the most economic option that will still meet our business need. This option is less expensive than hiring staff. It provides access to the array of necessary expertise when needed. It provides an objective resource for managers and staff when dealing with personnel issues.

What are the consequences of not funding this request?

Not funding this request would mean that the agency would have to operate without HR services which are critical for the agency's success. It would affect our ability to focus on the mission-critical work of our agency, weaken our ability to recruit and retain high-quality individuals, and increase our risks of non-compliant employment practices and related financial and legal risks.

Many of these services are similar to preventive health care. Investments and guidance up front can prevent major mistakes that can lead to legal and financial costs, as well as consequences for culture and trust from which it can take years to recover.

Examples of this include:

Recruitment – These services include candidate outreach and assistance with assessment and selection.

Without these HR services, hiring managers would need to spend more of their limited time conducting outreach to qualified candidates or hope that there are enough qualified candidates in the applicant pool. HR support would also help reduce the risk of unfair hiring practices by ensuring the assessment and selection process is correct and using behavior and performance based techniques to find the best candidate available.

Labor Relations – Labor relations support includes HR consultation on what level of corrective or disciplinary action is the most appropriate based on principles of just cause, writing of expectation and disciplinary letters to ensure clarity and appropriateness, ensuring processes are followed according to the collective bargaining agreement, and working with union representatives to ensure all disagreements are handled at the lowest possible level. DES HR Consultants are familiar with the Collective Bargaining Agreements (CBA) and can provide clear guidance and counsel on the best approaches to creating and maintaining a work environment that complies with the CBAs.

Performance Management – These services include supervisor guidance on creating clear performance expectations that achieve business results, creating development plans that help fill in the gap between expectations and reality and creating purposeful succession planning that helps match employee skillsets with business needs. These services make sure that the right people are in the right positions with the right skillsets to do the work which ensures business efficiency and reduces time and money spent on disciplinary actions and appeal processes that go with them.

Investigations – These services include full responsibilities through the investigative process. From initial intake, scheduling and conducting interviews gathering all tangible evidence, through delivering the final report to the Appointing Authority. Without this service, managers would be forced to either undergo costly training to become eligible to conduct an administrative investigation or pursue an outside investigator which can cost anywhere from \$5,000 to \$15,000. These services make sure complaints and claims are thoroughly investigated by a neutral third party who is familiar with the state and CBA specific rules and nuances.

How has or can the agency address the issue or need in its current appropriation level?

- *Is this package a high-enough priority that the agency has or would reprogram current resources to accomplish it? See discussion above.*
- *If yes, what programs or services were/would be reprogrammed? How? With what consequences? See discussion above about risks and consequences.*
- *What process improvements and best practices have been or will be undertaken to improve the efficiency and effectiveness of the process/program affected by this proposal?*

Other supporting materials: Please attach or reference any other supporting materials or information that will help analysts and policymakers understand and prioritize your request.

See the attached service level descriptions.

Information technology: Does this Decision Package include funding for any IT-related costs, including hardware, software, services (including cloud-based services), contracts or IT staff?

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- Does this decision package fund the acquisition or enhancements of any agency data centers? (See [OCIO Policy 184](#) for definition). Yes No
- Does this decision package fund the continuation of a project that is, or will be, under OCIO oversight? (See [OCIO Policy 121](#)). Yes No

If you answered "yes" to any of these questions, you must complete a concept review with the OCIO before submitting your budget request. Refer to chapter 12.2 of the operating budget instructions for more information.

SERVICE LEVEL DESCRIPTIONS

HR Basic Services:

- **Workforce Data Analysis** – Provide reports and information such as Affirmative Action roll-ups as needed to assist the Recreation and Conservation Office.
- **Human Resource Management System (HRMS) Keying and Reporting** – Provide customer support and guidance on proper personnel forms, keying in all personnel related actions to include but not limited to: hires, separations, status updates, promotions, date changes, cost of living increases, reallocations, disciplinary actions, etc. Ensure that all agency keying actions are accurate and complete.
- **HR Toolkit Access and Guidance** - Full access to the online HR toolkit and all agency forms. Over the phone guidance for form usage and services.
- **Basic Recruitment Services** – Online posting of job announcements on the careers.wa.gov website to include the agency's logo. Free posting on DES's LinkedIn account and any other social media sites currently available for use by DES recruiters. First round application assessment for minimum qualifications through the candidate's supplemental answers. Forwarding of applicant referred list to the hiring manager. Access to DES's job announcement, performance profile, interview questions, and reference check templates.
- **Basic Classification Services** – Determine position allocations and provide employee notification letters for Appointing Authority. Assist with WMS and EMS evaluations and banding.
- **Policy and Procedure Guidance** – Access to AAG approved policy templates with additional access to DES specific policies and procedures as examples.
- **Employee Relations Guidance** – Access to AAG approved corrective and disciplinary action letter templates. Includes one review of each action letter with HR guidance on content and appropriate level of action.
- **FMLA and Shared Leave Guidance** - Access to agency approved forms and recommended procedures. Includes review of employee paperwork to and assessment of eligibility based on standard criteria.
- **Reasonable Accommodation Administration** – Provide support to the employee and supervisor to determine the most effective accommodation based on the employee's needs. Storage of all medical documents in a secure central location and act as the liaison between the agency and the employee's medical professional to ensure appropriate documentation and medical assessment.

HR Essential Services:

- **Workforce Data Analysis** – Provide reports and information such as Affirmative Action roll-ups as needed to assist the Recreation and Conservation Office.
- **Human Resource Management System (HRMS) Keying and Reporting** – Provide customer support and guidance on proper personnel forms, keying in all personnel related actions to include but not limited to: hires, separations, status updates, promotions, date changes, cost of living increases, reallocations, disciplinary actions, etc. Ensure that all agency keying actions are accurate and complete.
- **HR Toolkit Access and Guidance** - Full access to the online HR toolkit and all agency forms. Over the phone guidance for form usage and services.
- **Life Cycle Recruitment Services** – Provide pre-recruitment consultation and creation of a customized recruitment timeline, writing of a targeted job announcement, posting of job announcement on the careers.wa.gov website with agency logo, posting of job announcement on DES's LinkedIn page and basic outreach to passive candidates, assistance with creating behavioral and performance based interview questions customized for each job, guidance on

appropriate reference checks, performing background checks when applicable, consultation on offer and hire details.

- **Classification Services** – Determine position allocations and provide employee notification letters for appointing authority. Provide consultation on the development of position descriptions. Provide desk audits when needed. Assist with WMS and EMS evaluations and banding.
- **Policy and Procedure Guidance** – Access to AAG approved policy templates with additional access to DES specific policies and procedures as examples.
- **Labor and Employee Relations** – Provide rules guidance and interpretation for represented and non-represented state employees to ensure the agency creates a positive and respectful work environment.
- **FMLA and Shared Leave Guidance** - Access to agency approved forms and recommended procedures. Includes consultation on leave approval, employee conduct while on leave and appropriate tracking procedures. Includes review of employee paperwork to and assessment of eligibility based on standard criteria.
- **Performance Management** – Provide consultation on performance appraisals, performance communication strategies and assist in setting expectations. Consult on corrective and disciplinary actions; assist with documentation and processing including development of disciplinary letters. Provide tools and checklists.
- **Workforce Management Support** – Assist with “just in time” HR projects such as temporary layoffs and hiring freezes and changes that occur in Washington Management Service.
- **Human Resource Related Meetings** - Provide the agency with an HR consultant to discuss current HR topics and keep the agency informed on HR issues at monthly meetings, or as needed.
- **Investigations/Fact Finding** – Provide immediate employee complaint intake to determine next steps. Perform personnel related investigations and fact-finding for small to medium sized investigations (less than 20 hours) and provide contract management services for outside investigators on large (20+ hours) projects or investigations outside of the personnel realm.
- **Supervisor Coaching** – Provide best practices and guidance to new supervisors to ensure they set clear expectations and coach their staff to maximize agency performance.
- **Learning Management System (LMS) Technical Guidance** – Provide over-the-phone password reset and technical support for tier 1 service issues. Act as the liaison between the agency and Washington Technology Solutions for Tier 2 and Tier 3 issues.
- **Reasonable Accommodation Administration** – Provide support to the employee and supervisor to determine the most effective accommodation based on the employee’s needs. Storage of all medical documents in a secure central location and act as the liaison between the agency and the employee’s medical professional to ensure appropriate documentation and medical assessment.