

117 - Washington State Gambling Comm

A001 Gambling Licensing, Background and Financial Investigations

The Washington State Gambling Commission is statutorily charged to enforce the provisions of the Gambling Act of 1973. The commission carries out this responsibility through a system of regulation and enforcement consisting of several interrelated activities. The commission requires that individuals and businesses apply for and obtain a license before conducting authorized gambling activities. The application and approval process includes an extensive investigation of fund sources and criminal records to prevent criminal interests from gaining a foothold in Washington gambling businesses to protect the public from being victimized.

The licensing process is required by statute to generate the funds necessary to cover all costs of licensing and enforcement.

Account	FY 2014	FY 2015	Biennial Total
FTE	30.5	29.2	29.9
141 Federal Seizure Account			
141-6 Non-Appropriated	\$11,000	\$10,000	\$21,000
884 Gambling Revolving Account			
884-6 Non-Appropriated	\$2,637,000	\$2,500,000	\$5,137,000
226 State Seizure Account			
226-6 Non-Appropriated	\$244,000	\$311,000	\$555,000

Statewide Result Area: Improve the safety of people and property
Statewide Strategy: Enforce the law

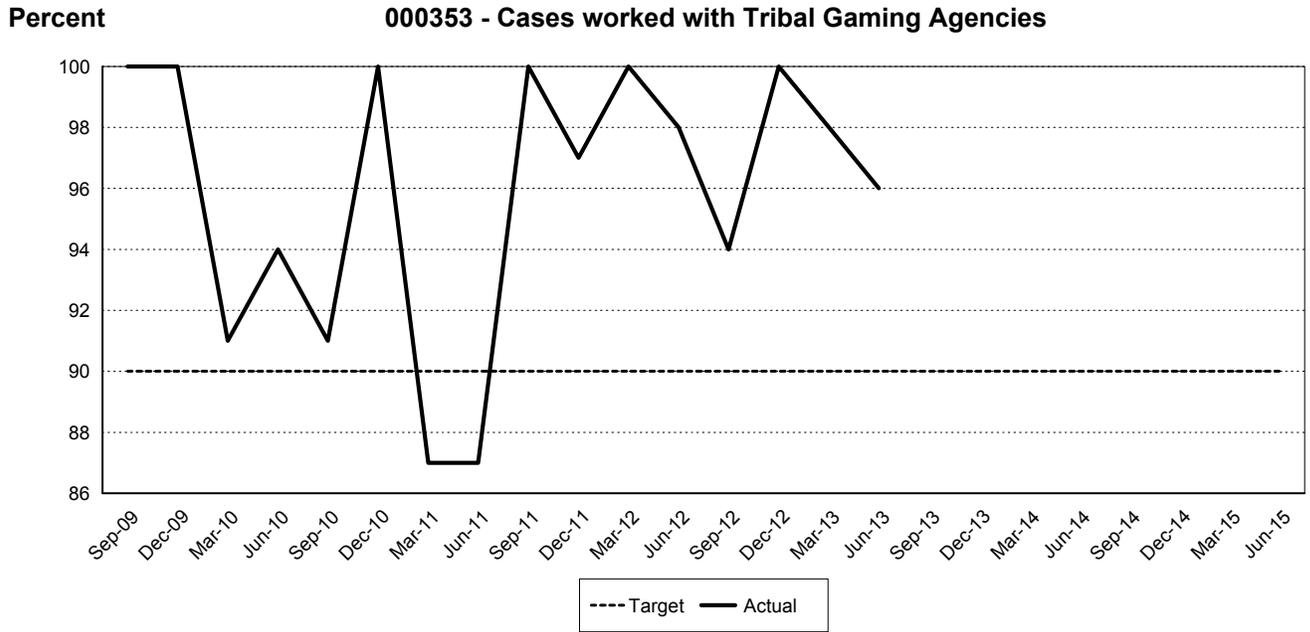
Expected Results

Keeping the criminal element out of gambling.

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000353 Percentage of case reports worked with the Tribal Gaming Agency.			
Biennium	Period	Actual	Target
2013-15	Q8		90%
	Q7		90%
	Q6		90%
	Q5		90%
	Q4		90%
	Q3		90%
	Q2		90%
	Q1		90%
2011-13	Q8	96%	90%
	Q7	98%	90%
	Q6	100%	90%
	Q5	94%	90%
	Q4	98%	90%
	Q3	100%	90%
	Q2	97%	90%
	Q1	100%	90%
2009-11	Q8	87%	90%
	Q7	87%	90%
	Q6	100%	90%
	Q5	91%	90%
	Q4	94%	90%
	Q3	91%	90%
	Q2	100%	90%
	Q1	100%	90%

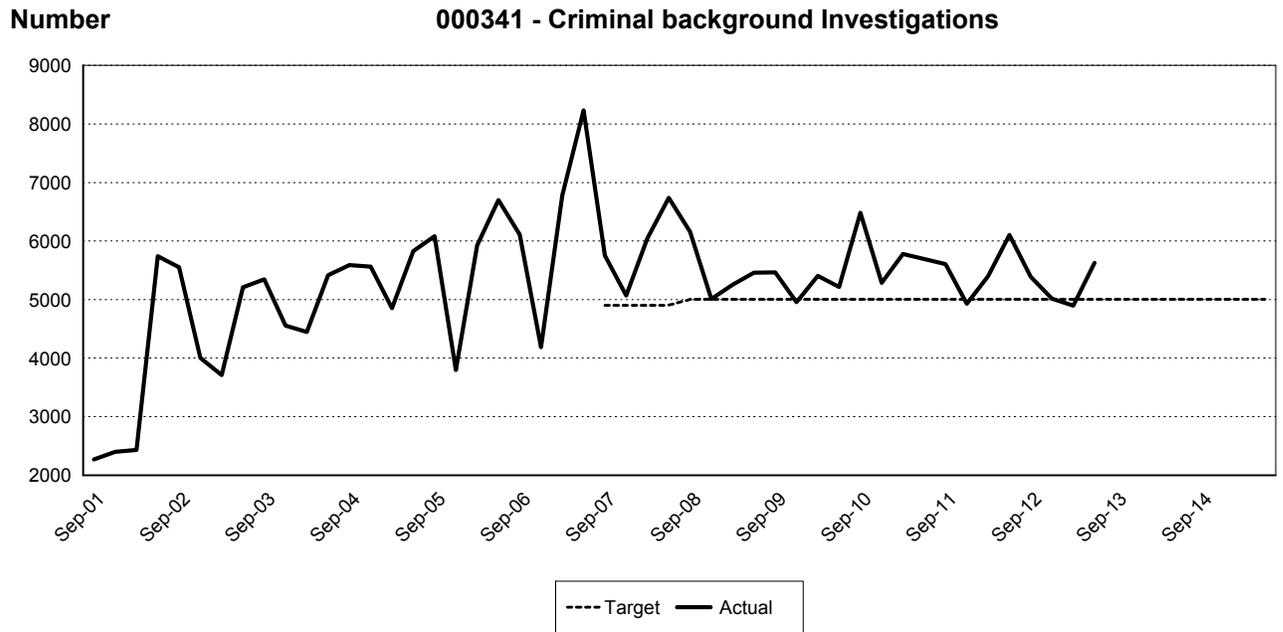
Appropriation Period: 2013-15 Activity Version: 2C - Enacted Recast Sort By: Activity



Appropriation Period: 2013-15 Activity Version: 2C - Enacted Recast Sort By: Activity

000341 Number of individual criminal background investigations completed by the Washington State Gambling Commission.			
Biennium	Period	Actual	Target
2013-15	Q8		5,000
	Q7		5,000
	Q6		5,000
	Q5		5,000
	Q4		5,000
	Q3		5,000
	Q2		5,000
	Q1		5,000
2011-13	Q8	5,629	5,000
	Q7	4,899	5,000
	Q6	5,018	5,000
	Q5	5,390	5,000
	Q4	6,105	5,000
	Q3	5,401	5,000
	Q2	4,930	5,000
	Q1	5,608	5,000
2009-11	Q8	5,690	5,000
	Q7	5,777	5,000
	Q6	5,287	5,000
	Q5	6,483	5,000
	Q4	5,217	5,000
	Q3	5,407	5,000
	Q2	4,954	5,000
	Q1	5,463	5,000

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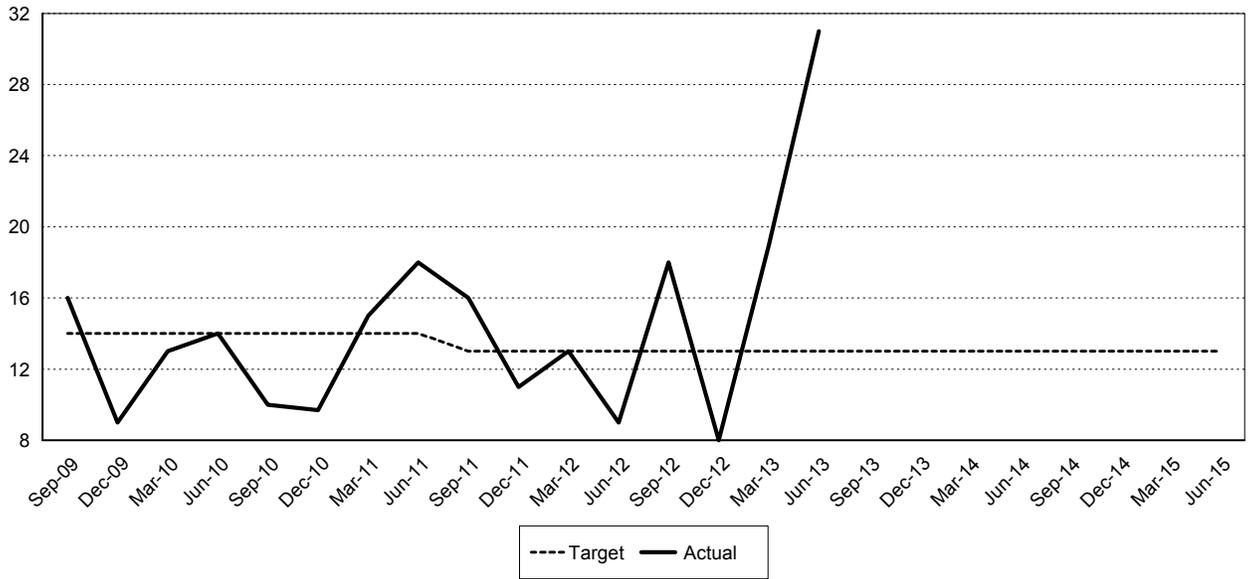
Appropriation Period: 2013-15 Activity Version: 2C - Enacted Recast Sort By: Activity

000349 Percentage of inspections performed resulting in a case report being issued.			
Biennium	Period	Actual	Target
2013-15	Q8		13%
	Q7		13%
	Q6		13%
	Q5		13%
	Q4		13%
	Q3		13%
	Q2		13%
	Q1		13%
2011-13	Q8	31%	13%
	Q7	19%	13%
	Q6	8%	13%
	Q5	18%	13%
	Q4	9%	13%
	Q3	13%	13%
	Q2	11%	13%
	Q1	16%	13%
2009-11	Q8	18%	14%
	Q7	15%	14%
	Q6	9.69%	14%
	Q5	10%	14%
	Q4	14%	14%
	Q3	13%	14%
	Q2	9%	14%
	Q1	16%	14%

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Percent

000349 - Inspections with material violations



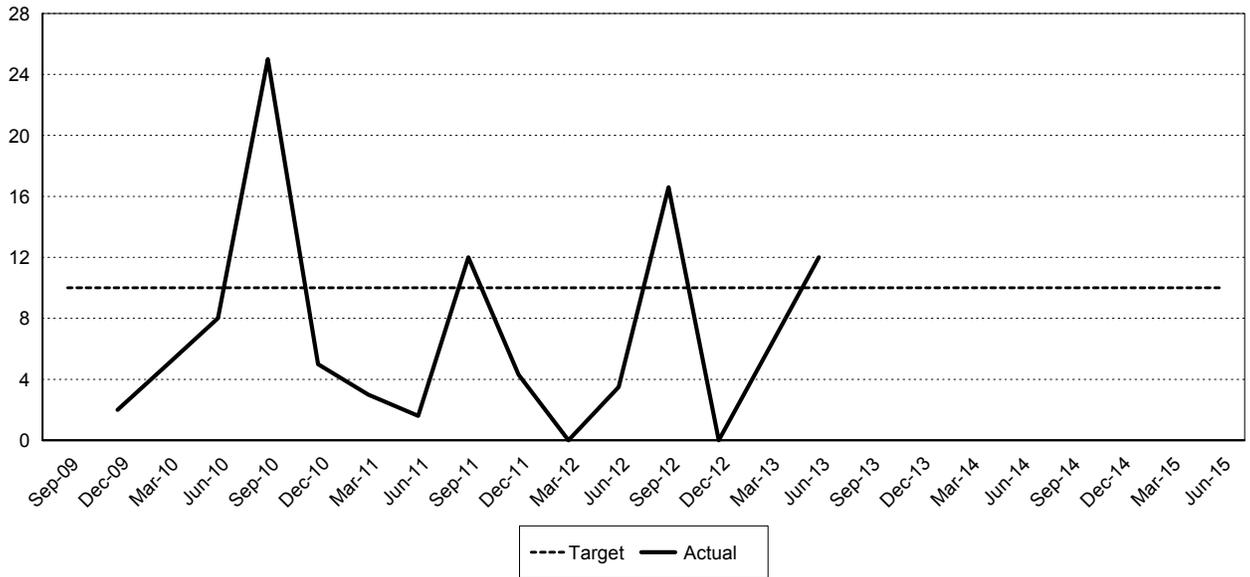
Appropriation Period: 2013-15 Activity Version: 2C - Enacted Recast Sort By: Activity

000352 Percentage of new gambling equipment submissions found out of compliance.			
Biennium	Period	Actual	Target
2013-15	Q8		10%
	Q7		10%
	Q6		10%
	Q5		10%
	Q4		10%
	Q3		10%
	Q2		10%
	Q1		10%
2011-13	Q8	12%	10%
	Q7	6%	10%
	Q6	0%	10%
	Q5	16.6%	10%
	Q4	3.5%	10%
	Q3	0%	10%
	Q2	4.3%	10%
	Q1	12%	10%
2009-11	Q8	1.6%	10%
	Q7	3%	10%
	Q6	5%	10%
	Q5	25%	10%
	Q4	8%	10%
	Q3	5%	10%
	Q2	2%	10%
	Q1		10%

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Percent

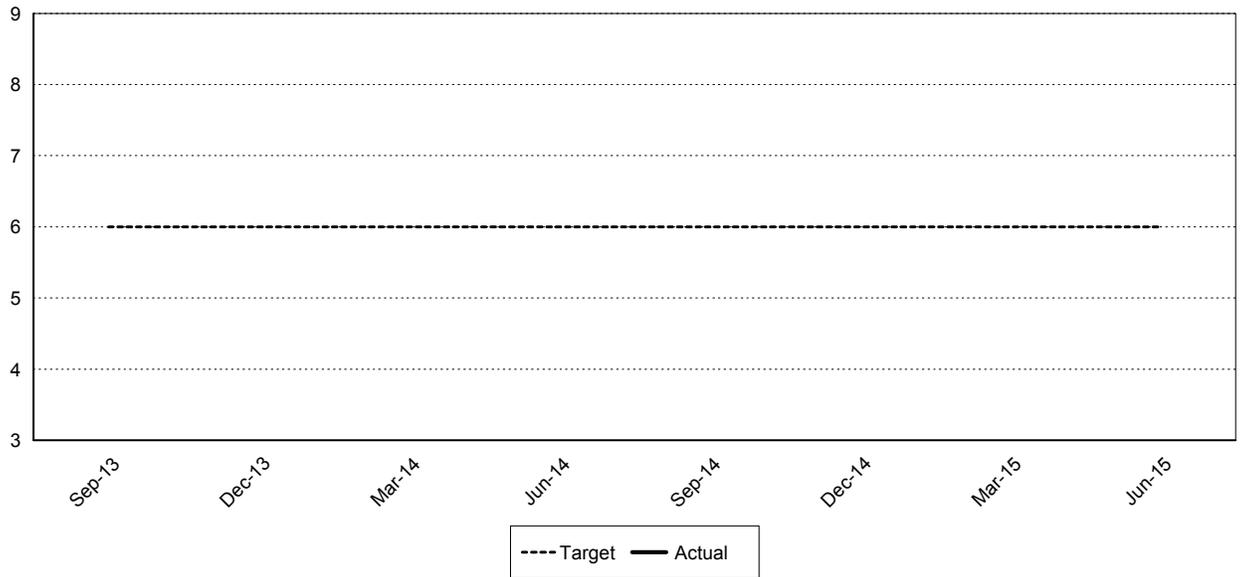
000352 - New equipment found out of compliance



002464 The number of new individual or organizational applications that are withdrawn or denied due to criminal history in a quarter.			
Biennium	Period	Actual	Target
2013-15	Q8		6
	Q7		6
	Q6		6
	Q5		6
	Q4		6
	Q3		6
	Q2		6
	Q1		6

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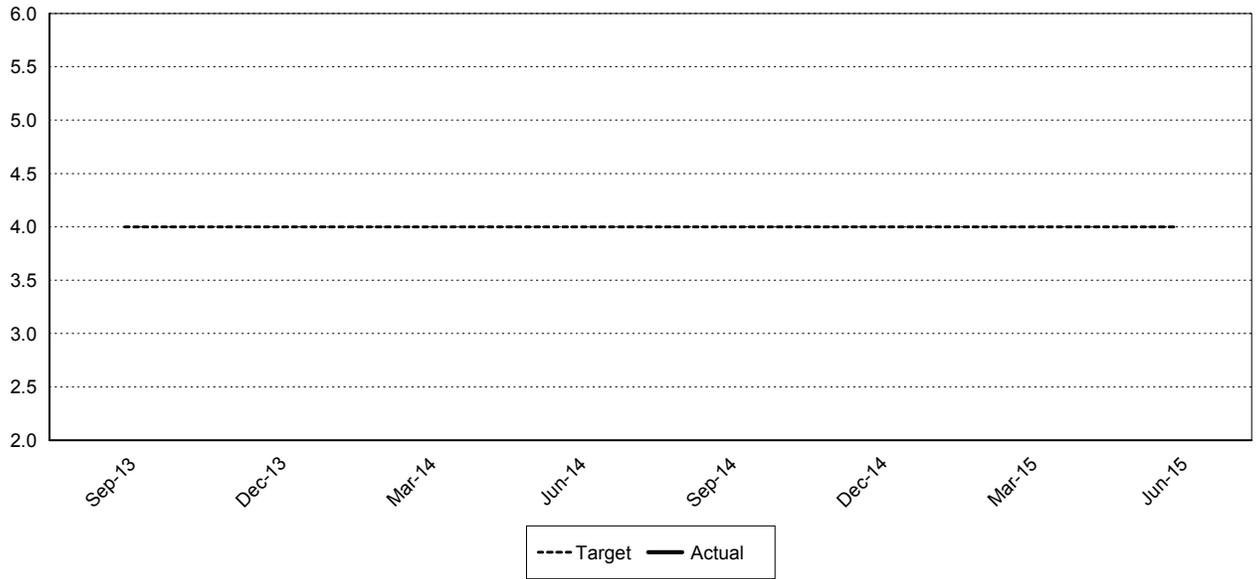
Number 002464 - Number of Applications Withdrawn or Denied Due to Criminal History in a Quarter.



002463 The percentage of new individual or organizational licenses revoked or surrendered due to criminal history in a quarter.			
Biennium	Period	Actual	Target
2013-15	Q8		4
	Q7		4
	Q6		4
	Q5		4
	Q4		4
	Q3		4
	Q2		4
	Q1		4

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Number 002463 - Number of Licenses Revoked or Surrendered Due to Criminal History in a Quarter

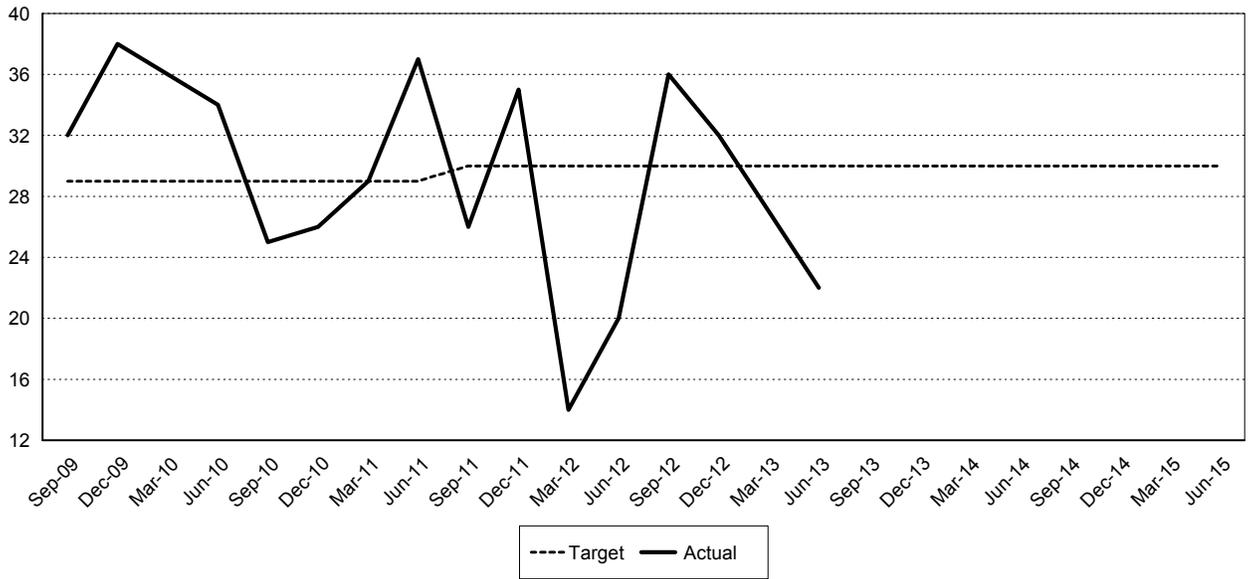


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000344 Number of licenses or applications revoked, surrendered, withdrawn or denied due to criminal history or criminal activity.			
Biennium	Period	Actual	Target
2013-15	Q8		30
	Q7		30
	Q6		30
	Q5		30
	Q4		30
	Q3		30
	Q2		30
	Q1		30
2011-13	Q8	22	30
	Q7	27	30
	Q6	32	30
	Q5	36	30
	Q4	20	30
	Q3	14	30
	Q2	35	30
	Q1	26	30
2009-11	Q8	37	29
	Q7	29	29
	Q6	26	29
	Q5	25	29
	Q4	34	29
	Q3	36	29
	Q2	38	29
	Q1	32	29

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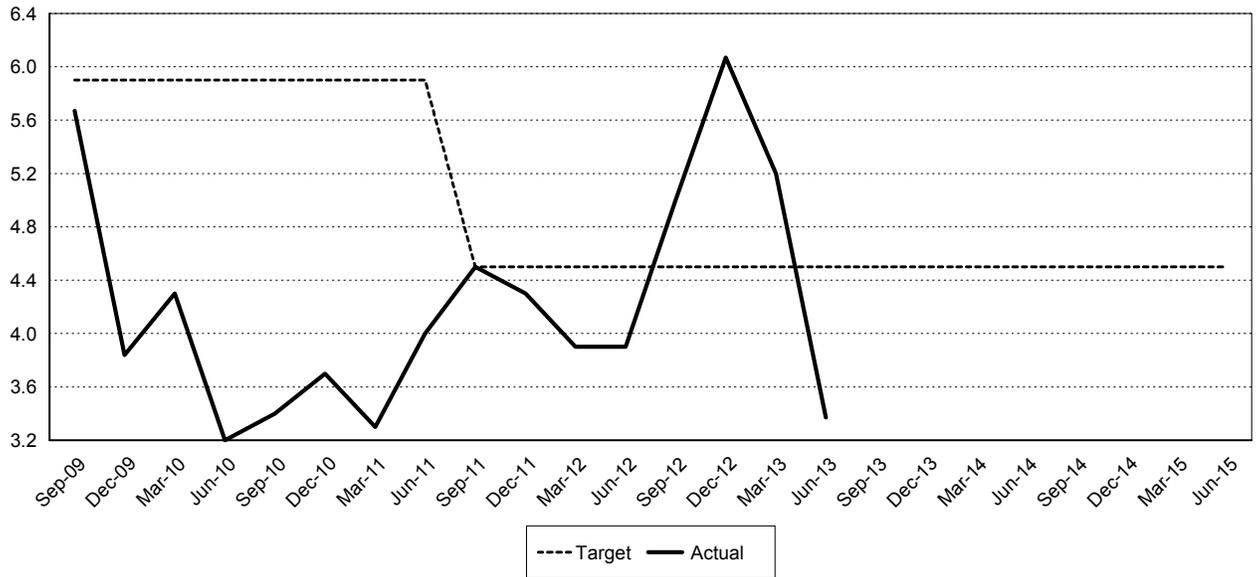
Number 000344 - Number of Licenses/Applicants with Criminal History



000343 Percentage of applicants withdrawn, denied, or administratively closed due to disqualifying information.			
Biennium	Period	Actual	Target
2013-15	Q8		4.5%
	Q7		4.5%
	Q6		4.5%
	Q5		4.5%
	Q4		4.5%
	Q3		4.5%
	Q2		4.5%
	Q1		4.5%
2011-13	Q8	3.37%	4.5%
	Q7	5.2%	4.5%
	Q6	6.07%	4.5%
	Q5	5%	4.5%
	Q4	3.9%	4.5%
	Q3	3.9%	4.5%
	Q2	4.3%	4.5%
	Q1	4.5%	4.5%
2009-11	Q8	4%	5.9%
	Q7	3.3%	5.9%
	Q6	3.7%	5.9%
	Q5	3.4%	5.9%
	Q4	3.2%	5.9%
	Q3	4.3%	5.9%
	Q2	3.84%	5.9%
	Q1	5.67%	5.9%

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Percent 000343 - Percentage of Applicants with Disqualifying Information



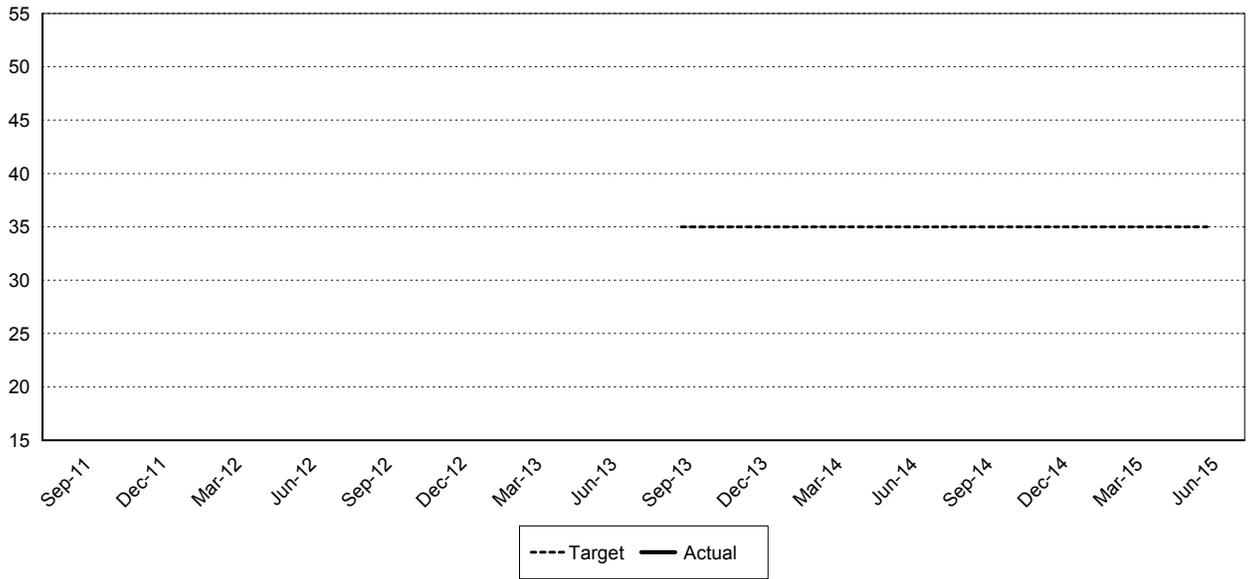
002465 The percentage of new and renewal individual and organizational applications received online rather than in paper form.

Biennium	Period	Actual	Target
2013-15	Q8		35%
	Q7		35%
	Q6		35%
	Q5		35%
	Q4		35%
	Q3		35%
	Q2		35%
	Q1		35%
2011-13	Q8		
	Q7		
	Q6		
	Q5		
	Q4		
	Q3		
	Q2		
	Q1		

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Percent

002465 - Percentage of applications received electronically



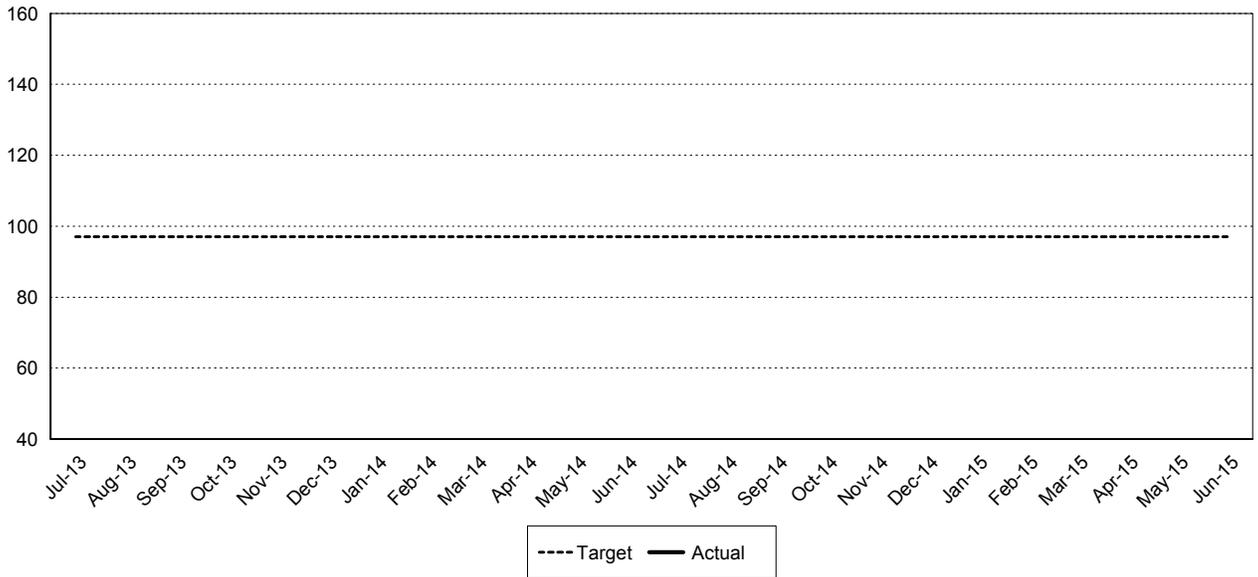
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002461 The Percentage of new and renewal, individual and organizational licenses issued each month.			
Biennium	Period	Actual	Target
2013-15	M24		97%
	M23		97%
	M22		97%
	M21		97%
	M20		97%
	M19		97%
	M18		97%
	M17		97%
	M16		97%
	M15		97%
	M14		97%
	M13		97%
	M12		97%
	M11		97%
	M10		97%
	M09		97%
	M08		97%
	M07		97%
	M06		97%
	M05		97%
	M04		97%
	M03		97%
	M02		97%
	M01		97%

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Percent

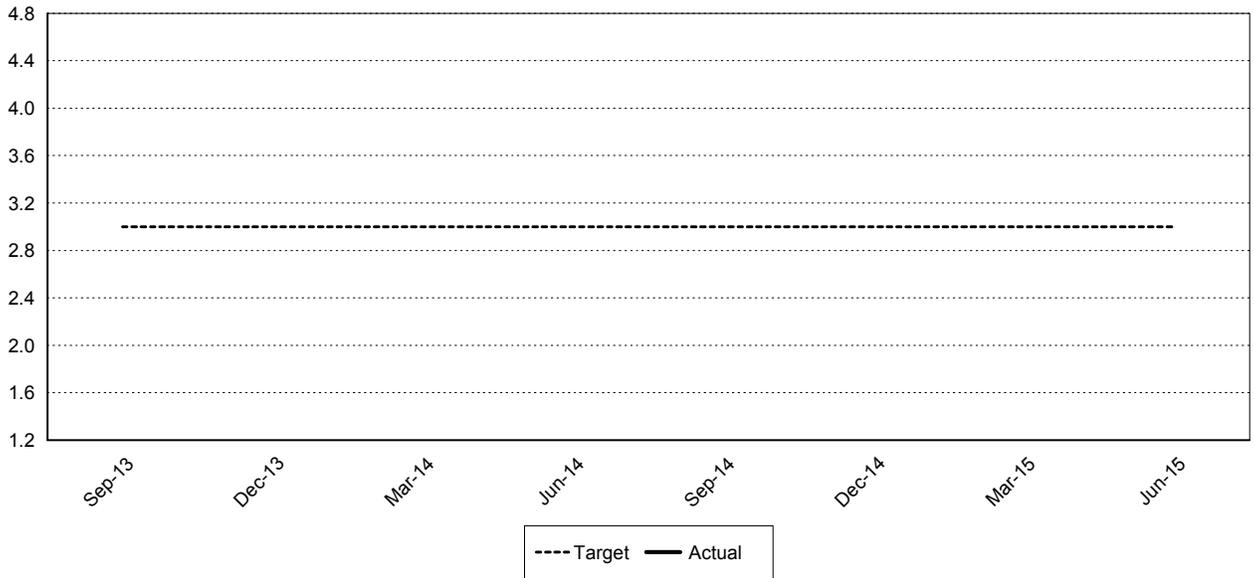
002461 - Percentage of Licenses Issued Each Quarter



002462 The percentage of new individual or organizational applications withdrawn, denied or administratively closed in a quarter.			
Biennium	Period	Actual	Target
2013-15	Q8		3
	Q7		3
	Q6		3
	Q5		3
	Q4		3
	Q3		3
	Q2		3
	Q1		3

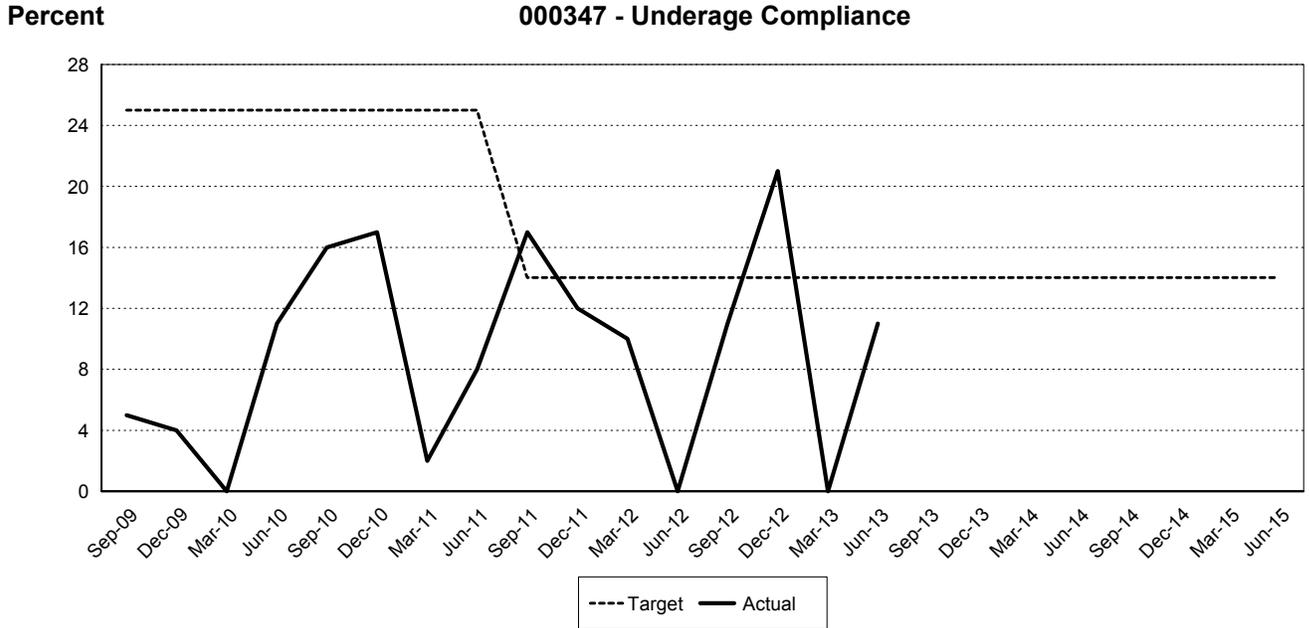
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Number 002462 - Percentage of New Applications Withdrawn, Denied, or Administratively Closed in a Quarter



000347 Percentage of underage compliance visits resulting in a violation.			
Biennium	Period	Actual	Target
2013-15	Q8		14%
	Q7		14%
	Q6		14%
	Q5		14%
	Q4		14%
	Q3		14%
	Q2		14%
	Q1		14%
2011-13	Q8	11%	14%
	Q7	0%	14%
	Q6	21%	14%
	Q5	11%	14%
	Q4	0%	14%
	Q3	10%	14%
	Q2	12%	14%
	Q1	17%	14%
2009-11	Q8	8%	25%
	Q7	2%	25%
	Q6	17%	25%
	Q5	16%	25%
	Q4	11%	25%
	Q3	0%	25%
	Q2	4%	25%
	Q1	5%	25%

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A002 General Enforcement and Criminal Intelligence Investigation

The Washington State Gambling Commission uses a combination of undercover and overt investigations to identify and seek prosecution of illegal gambling activities, cheating, theft, and racketeering.

The commission uses administrative rules and regulatory enforcement to ensure gambling is legal and honest, and requires that licensees maintain records that accurately document all gambling activity. Local jurisdictions rely on the commission's regulatory authority and these records to substantiate the millions in taxes collected annually. Absent the commission's activity in this area, this burden would fall entirely to local law enforcement.

Account	FY 2014	FY 2015	Biennial Total
FTE	65.5	64.9	65.2
141 Federal Seizure Account			
141-6 Non-Appropriated	\$495,000	\$384,000	\$879,000
884 Gambling Revolving Account			
884-6 Non-Appropriated	\$5,405,000	\$6,192,000	\$11,597,000
226 State Seizure Account			
226-6 Non-Appropriated	\$927,000	\$156,000	\$1,083,000

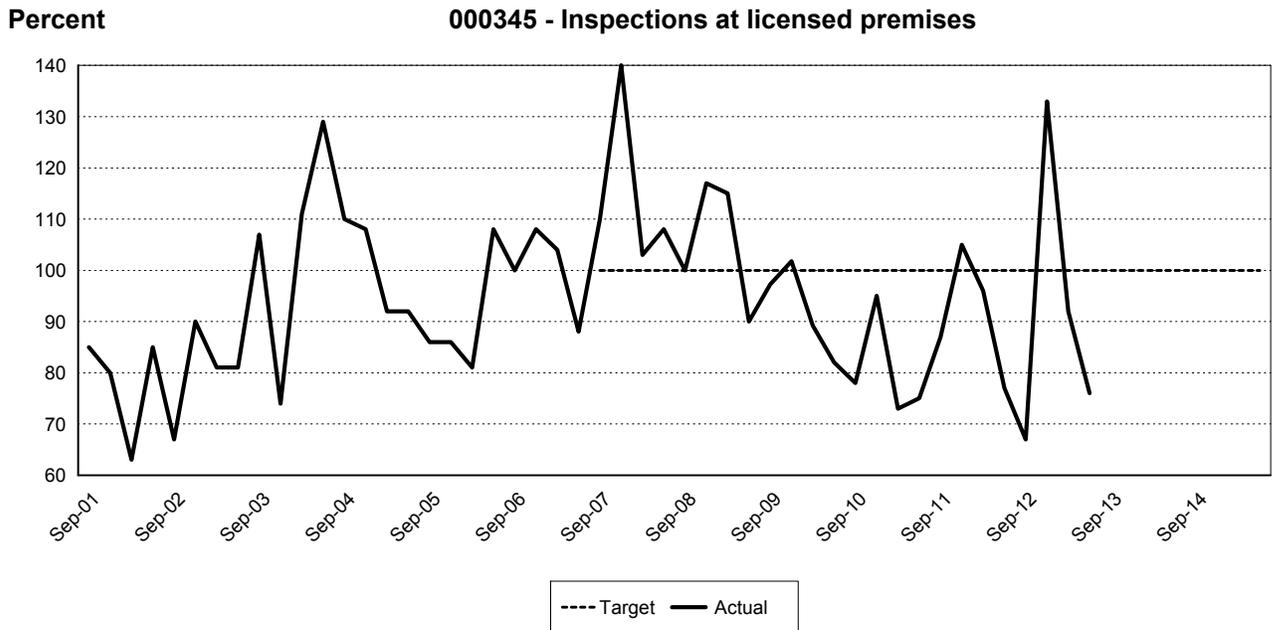
Statewide Result Area: Improve the safety of people and property

Statewide Strategy: Enforce the law

Expected Results

Ensuring gambling activities are operated legally and honestly.

000345 Percentage of scheduled compliance inspections conducted at licensed premises by the Washington State Gambling Commission.			
Biennium	Period	Actual	Target
2013-15	Q8		100%
	Q7		100%
	Q6		100%
	Q5		100%
	Q4		100%
	Q3		100%
	Q2		100%
	Q1		100%
2011-13	Q8	76%	100%
	Q7	92%	100%
	Q6	133%	100%
	Q5	67%	100%
	Q4	77%	100%
	Q3	96%	100%
	Q2	105%	100%
	Q1	87%	100%
2009-11	Q8	75%	100%
	Q7	73%	100%
	Q6	95%	100%
	Q5	78%	100%
	Q4	82%	100%
	Q3	89.21%	100%
	Q2	101.77%	100%
	Q1	97.25%	100%



A003 Tribal-State Compact Negotiation, Regulation Program, and Investigations

Federal law requires the state to negotiate in good faith with Indian tribes to provide these sovereign nations the opportunity to engage in casino-type gambling activities that are allowed in some form in the state of Washington. The tribes are only allowed to engage in these activities through a compact with the state that is negotiated and regulated by the commission. The Washington State Gambling Commission provides training, tests gambling equipment, and completes inspections and investigations in cooperation with the tribes to assure gambling is conducted fairly and honestly.

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Account	FY 2014	FY 2015	Biennial Total
FTE	52.5	50.4	51.5
141 Federal Seizure Account			
141-6 Non-Appropriated	\$136,000	\$76,000	\$212,000
884 Gambling Revolving Account			
884-6 Non-Appropriated	\$4,939,000	\$4,773,000	\$9,712,000
226 State Seizure Account			
226-6 Non-Appropriated	\$343,000	\$445,000	\$788,000

Statewide Result Area: Improve the safety of people and property

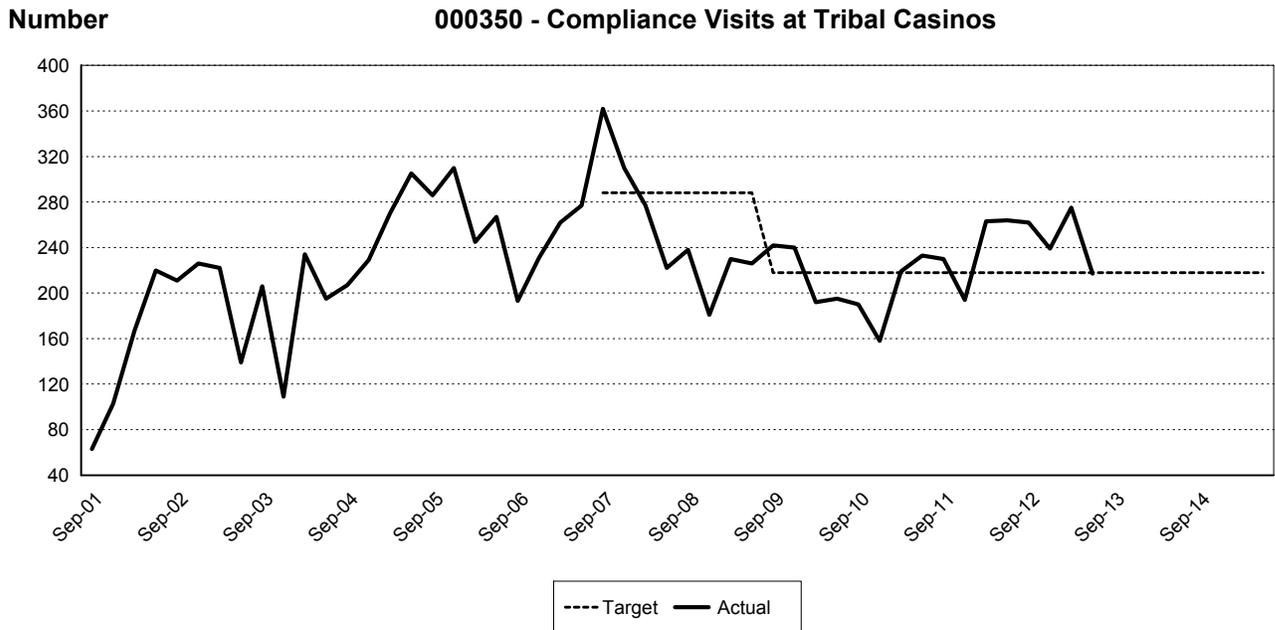
Statewide Strategy: Enforce the law

Expected Results

Ensuring gambling activities are operated legally and honestly.

Appropriation Period: 2013-15 Activity Version: 2C - Enacted Recast Sort By: Activity

000350 Number of compliance visits conducted at tribal casinos by the Washington State Gambling Commission.			
Biennium	Period	Actual	Target
2013-15	Q8		218
	Q7		218
	Q6		218
	Q5		218
	Q4		218
	Q3		218
	Q2		218
	Q1		218
2011-13	Q8	217	218
	Q7	275	218
	Q6	239	218
	Q5	262	218
	Q4	264	218
	Q3	263	218
	Q2	194	218
	Q1	230	218
2009-11	Q8	233	218
	Q7	219	218
	Q6	158	218
	Q5	190	218
	Q4	195	218
	Q3	192	218
	Q2	240	218
	Q1	242	218

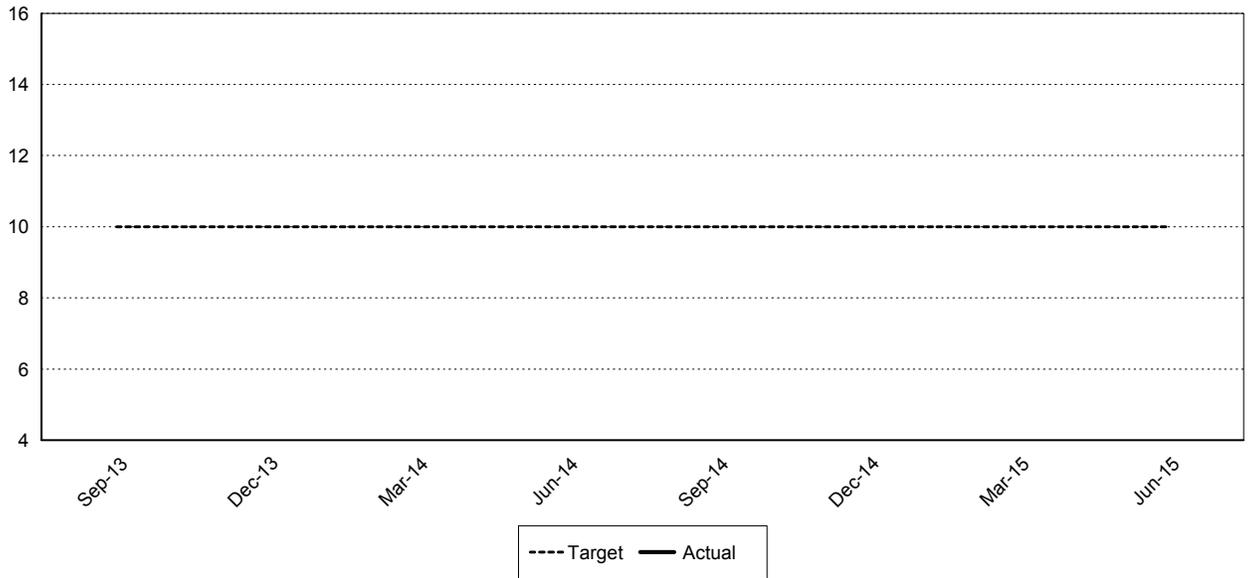


002467 Measures the total number of incidents, system failures, or inspection findings occurring on Tribal Lottery System equipment installed at Washington casinos which result in a technical investigation by the Agency's Electronic Gambling Lab.

Biennium	Period	Actual	Target
2013-15	Q8		10
	Q7		10
	Q6		10
	Q5		10
	Q4		10
	Q3		10
	Q2		10
	Q1		10

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Number 002467 - Number of compliance related findings in TLS equipment resulting in technical investigation.

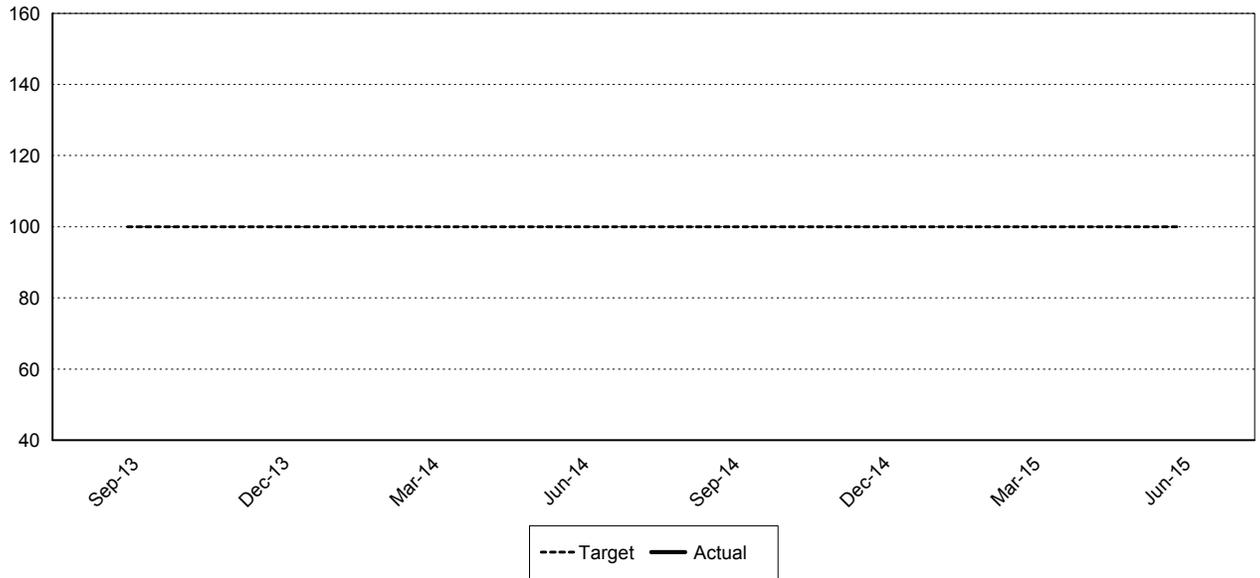


002466 Measures the percentage of total Tribal Lottery System submissions received during the reporting period which did not result in an approval or disapproval finding within the time limits mandated by the Tribal/State compact.

Biennium	Period	Actual	Target
2013-15	Q8		100%
	Q7		100%
	Q6		100%
	Q5		100%
	Q4		100%
	Q3		100%
	Q2		100%
	Q1		100%

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Percent 002466 - Percentage of Tribal Lottery System submissions testing completed within required time frames.

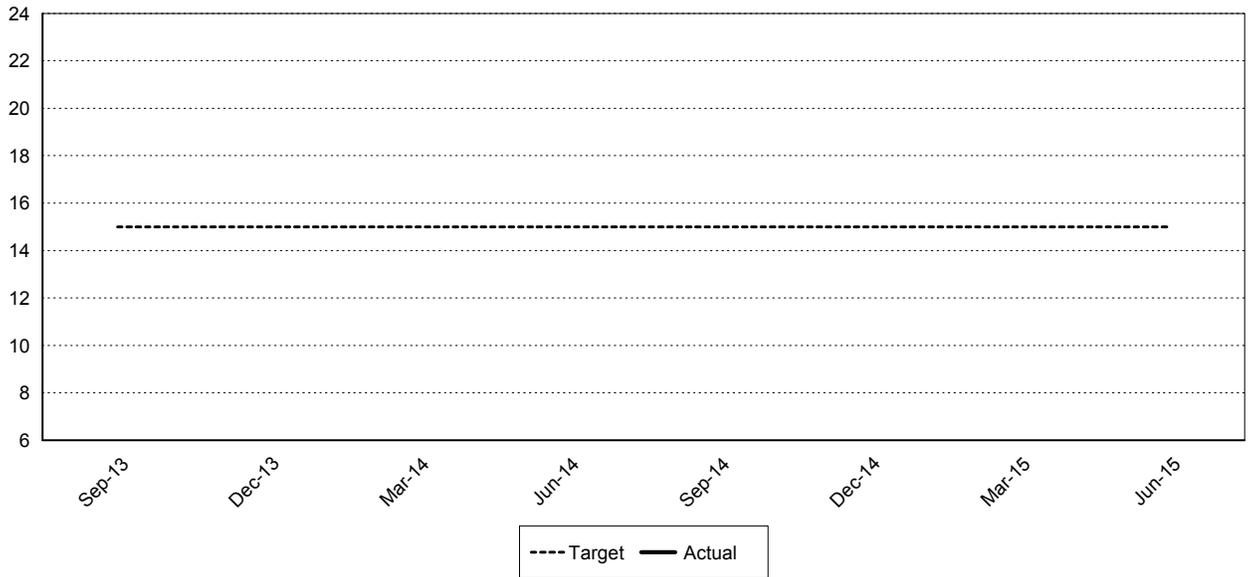


002468 Compare the type of violations found in current year to the previous year's type of violations. Determine how many of the current violations types were repeated from the previous year.

Biennium	Period	Actual	Target
2013-15	Q8		15%
	Q7		15%
	Q6		15%
	Q5		15%
	Q4		15%
	Q3		15%
	Q2		15%
	Q1		15%

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Percent 002468 - Percentage of violations found that are repeated from the previous year



Grand Total

	FY 2014	FY 2015	Biennial Total
FTE's	148.5	144.5	146.5
GFS	\$0	\$0	\$0
Other	\$15,137,000	\$14,847,000	\$29,984,000
Total	\$15,137,000	\$14,847,000	\$29,984,000

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<u>Parameter</u>	<u>Entered As</u>
Budget Period	2013-15
Agency	117
Version	2C - Enacted Recast
Result Area	All Result Areas
Activity	All Activities
Program	All Programs
Sub Program	All Sub Programs
Account	All Accounts
Expenditure Authority Type	All Expenditure Authority Types
Theme	All
Sort By	Activity
Display All Account Types	Yes
Include Policy Level	Yes
Include Activity Description	Yes
Include Statewide Result Area	Yes
Include Statewide Strategy	Yes
Include Expected Results Text	Yes
Include Charts	Yes
Chart Type	Line
Include Parameter Selections	Yes
Version Source	OFM