

117 - Washington State Gambling Comm

A001 Gambling Licensing, Background and Financial Investigations

The Washington State Gambling Commission is statutorily charged to enforce the provisions of the Gambling Act of 1973. The commission carries out this responsibility through a system of regulation and enforcement consisting of several interrelated activities. The commission requires that individuals and businesses apply for and obtain a license before conducting authorized gambling activities. The application and approval process includes an extensive investigation of fund sources and criminal records to prevent criminal interests from gaining a foothold in Washington gambling businesses to protect the public from being victimized.

The licensing process is required by statute to generate the funds necessary to cover all costs of licensing and enforcement.

Account	FY 2012	FY 2013	Biennial Total
FTE	34.2	35.0	34.6
141 Federal Seizure Account			
141-6 Non-Appropriated	\$292,000	\$285,000	\$577,000
884 Gambling Revolving Account			
884-6 Non-Appropriated	\$2,771,000	\$2,826,000	\$5,597,000
226 State Seizure Account			
226-6 Non-Appropriated	\$7,000	\$0	\$7,000

Statewide Result Area: Improve the safety of people and property
Statewide Strategy: Enforce the law

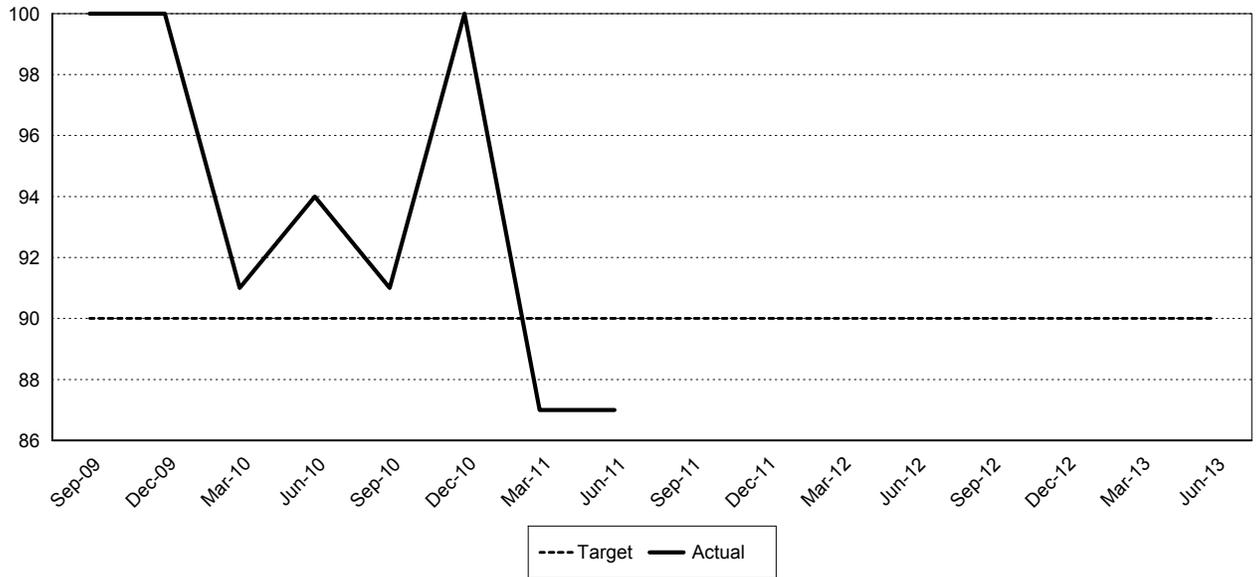
Expected Results

Keeping the criminal element out of gambling.

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000353 Percentage of case reports worked with the Tribal Gaming Agency.			
Biennium	Period	Actual	Target
2011-13	Q8		90%
	Q7		90%
	Q6		90%
	Q5		90%
	Q4		90%
	Q3		90%
	Q2		90%
	Q1		90%
2009-11	Q8	87%	90%
	Q7	87%	90%
	Q6	100%	90%
	Q5	91%	90%
	Q4	94%	90%
	Q3	91%	90%
	Q2	100%	90%
	Q1	100%	90%

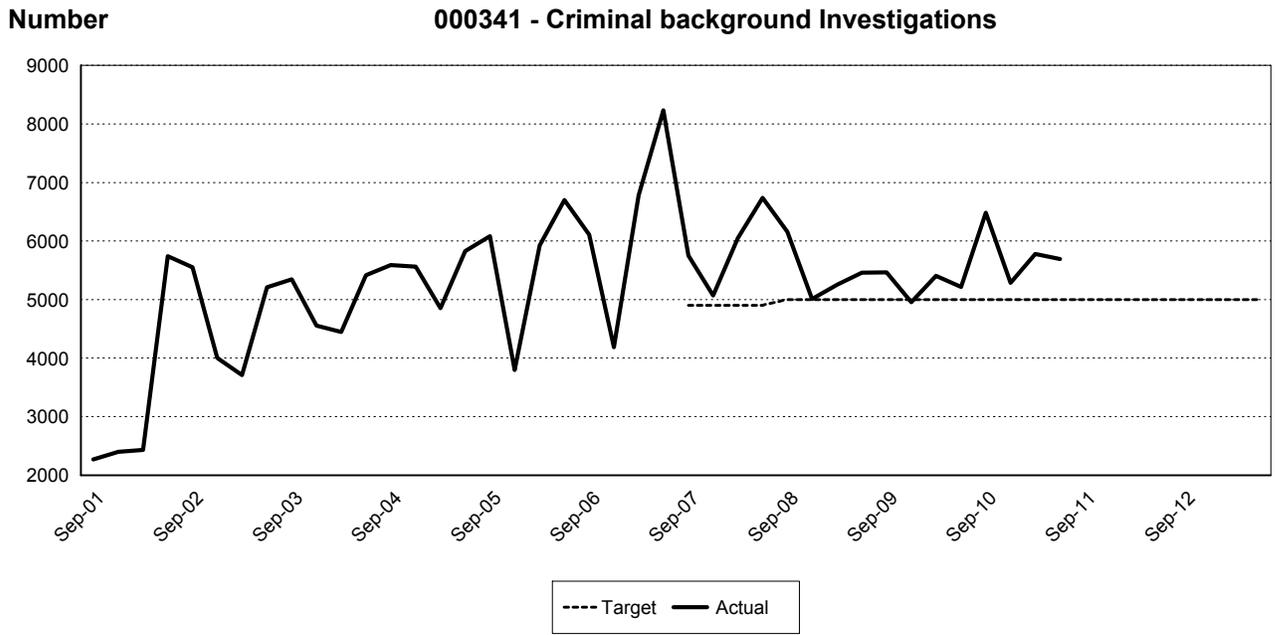
Percent 000353 - Cases worked with Tribal Gaming Agencies



Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000341 Number of individual criminal background investigations completed by the Washington State Gambling Commission.			
Biennium	Period	Actual	Target
2011-13	Q8		5,000
	Q7		5,000
	Q6		5,000
	Q5		5,000
	Q4		5,000
	Q3		5,000
	Q2		5,000
	Q1		5,000
2009-11	Q8	5,690	5,000
	Q7	5,777	5,000
	Q6	5,287	5,000
	Q5	6,483	5,000
	Q4	5,217	5,000
	Q3	5,407	5,000
	Q2	4,954	5,000
	Q1	5,463	5,000
2007-09	Q8	5,461	5,000
	Q7	5,254	5,000
	Q6	5,010	5,000
	Q5	6,160	5,000
	Q4	6,740	4,900
	Q3	6,052	4,900
	Q2	5,070	4,900
	Q1	5,750	4,900

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

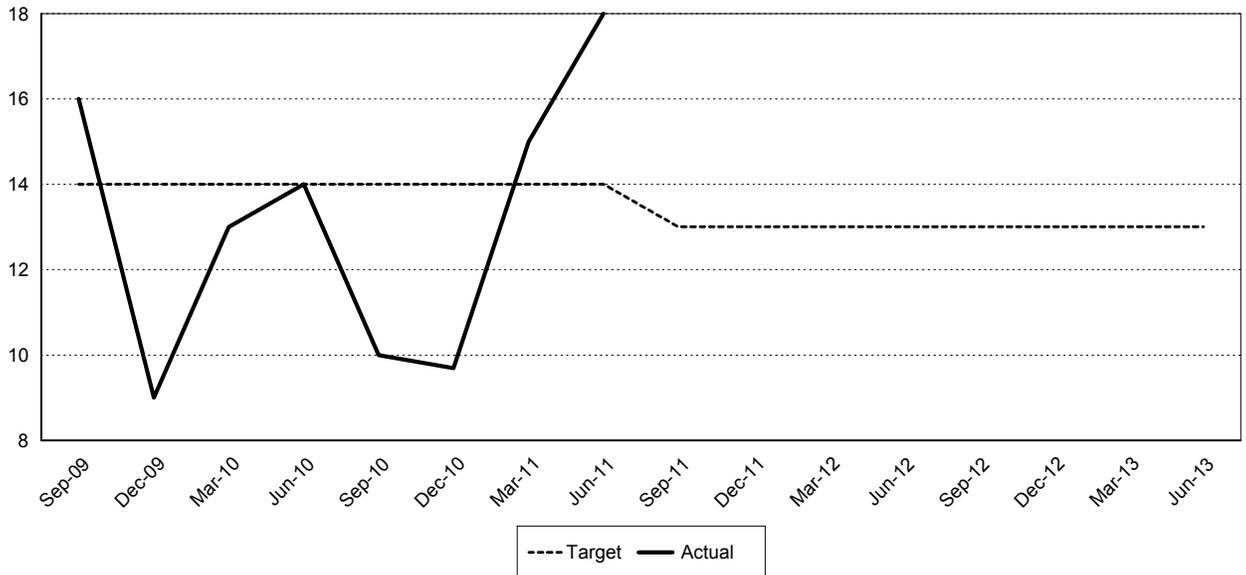


Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000349 Percentage of inspections performed resulting in a case report being issued.			
Biennium	Period	Actual	Target
2011-13	Q8		13%
	Q7		13%
	Q6		13%
	Q5		13%
	Q4		13%
	Q3		13%
	Q2		13%
	Q1		13%
2009-11	Q8	18%	14%
	Q7	15%	14%
	Q6	9.69%	14%
	Q5	10%	14%
	Q4	14%	14%
	Q3	13%	14%
	Q2	9%	14%
	Q1	16%	14%

Percent

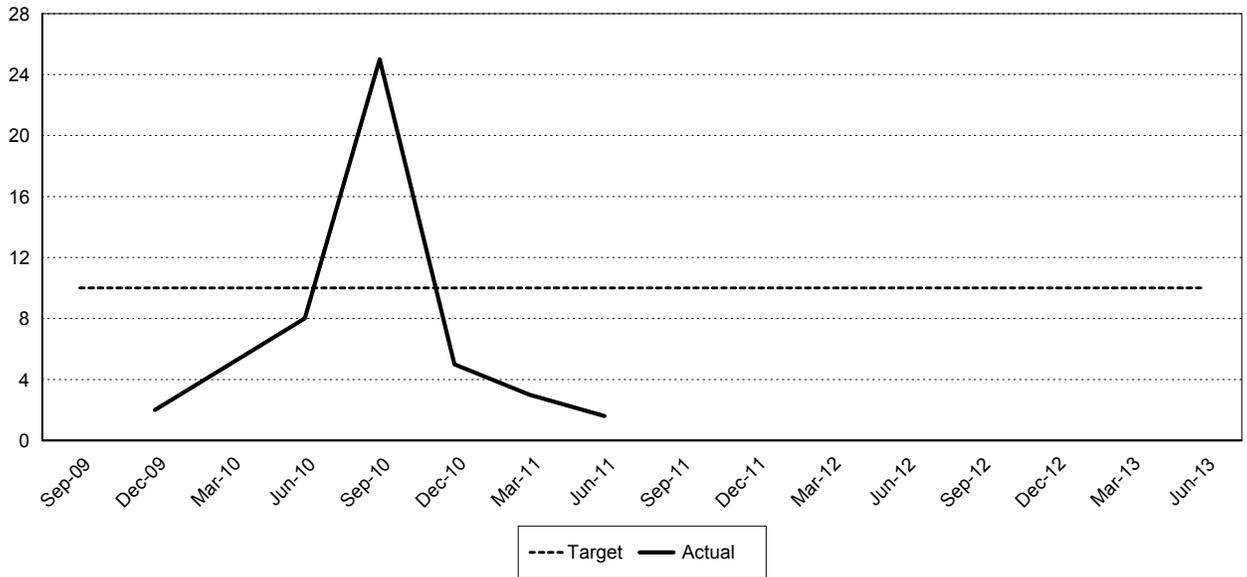
000349 - Inspections with material violations



Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000352 Percentage of new gambling equipment submissions found out of compliance.			
Biennium	Period	Actual	Target
2011-13	Q8		10%
	Q7		10%
	Q6		10%
	Q5		10%
	Q4		10%
	Q3		10%
	Q2		10%
	Q1		10%
2009-11	Q8	1.6%	10%
	Q7	3%	10%
	Q6	5%	10%
	Q5	25%	10%
	Q4	8%	10%
	Q3	5%	10%
	Q2	2%	10%
	Q1		10%

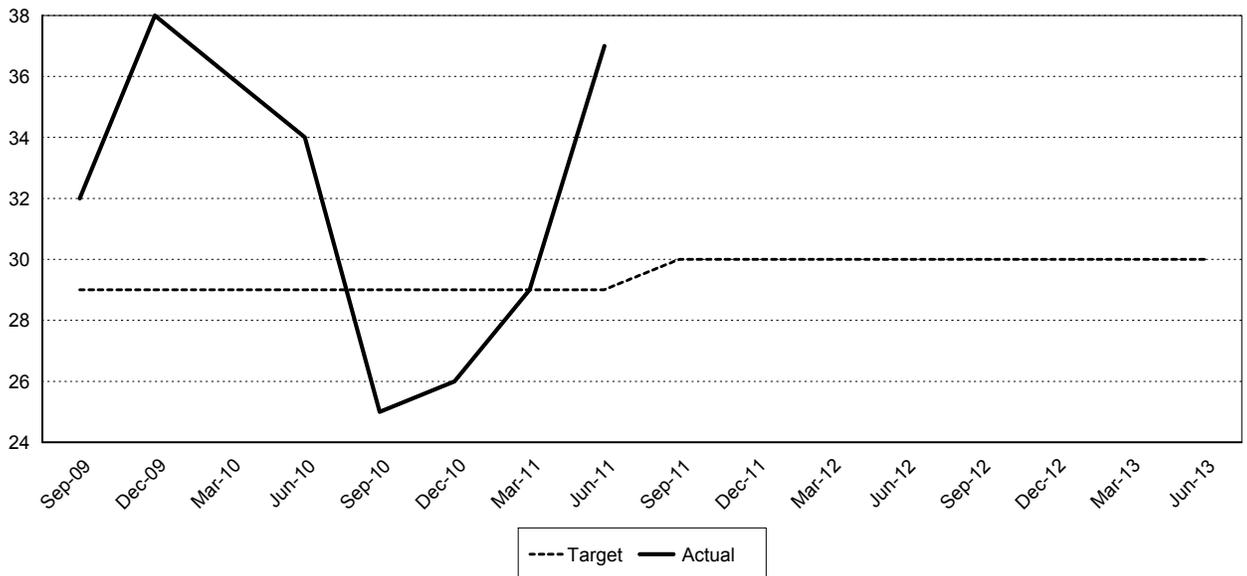
Percent 000352 - New equipment found out of compliance



Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000344 Number of licenses or applications revoked, surrendered, withdrawn or denied due to criminal history or criminal activity.			
Biennium	Period	Actual	Target
2011-13	Q8		30
	Q7		30
	Q6		30
	Q5		30
	Q4		30
	Q3		30
	Q2		30
	Q1		30
2009-11	Q8	37	29
	Q7	29	29
	Q6	26	29
	Q5	25	29
	Q4	34	29
	Q3	36	29
	Q2	38	29
	Q1	32	29

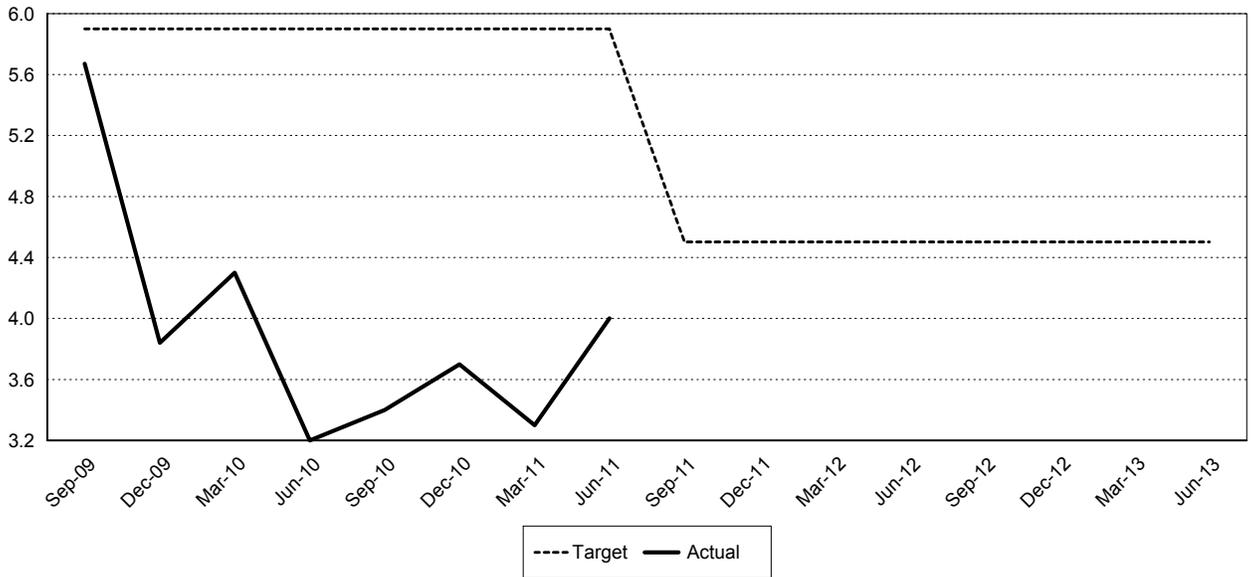
Number 000344 - Number of Licenses/Applicants with Criminal History



Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000343 Percentage of applicants withdrawn, denied, or administratively closed due to disqualifying information.			
Biennium	Period	Actual	Target
2011-13	Q8		4.5%
	Q7		4.5%
	Q6		4.5%
	Q5		4.5%
	Q4		4.5%
	Q3		4.5%
	Q2		4.5%
	Q1		4.5%
2009-11	Q8	4%	5.9%
	Q7	3.3%	5.9%
	Q6	3.7%	5.9%
	Q5	3.4%	5.9%
	Q4	3.2%	5.9%
	Q3	4.3%	5.9%
	Q2	3.84%	5.9%
	Q1	5.67%	5.9%

Percent 000343 - Percentage of Applicants with Disqualifying Information

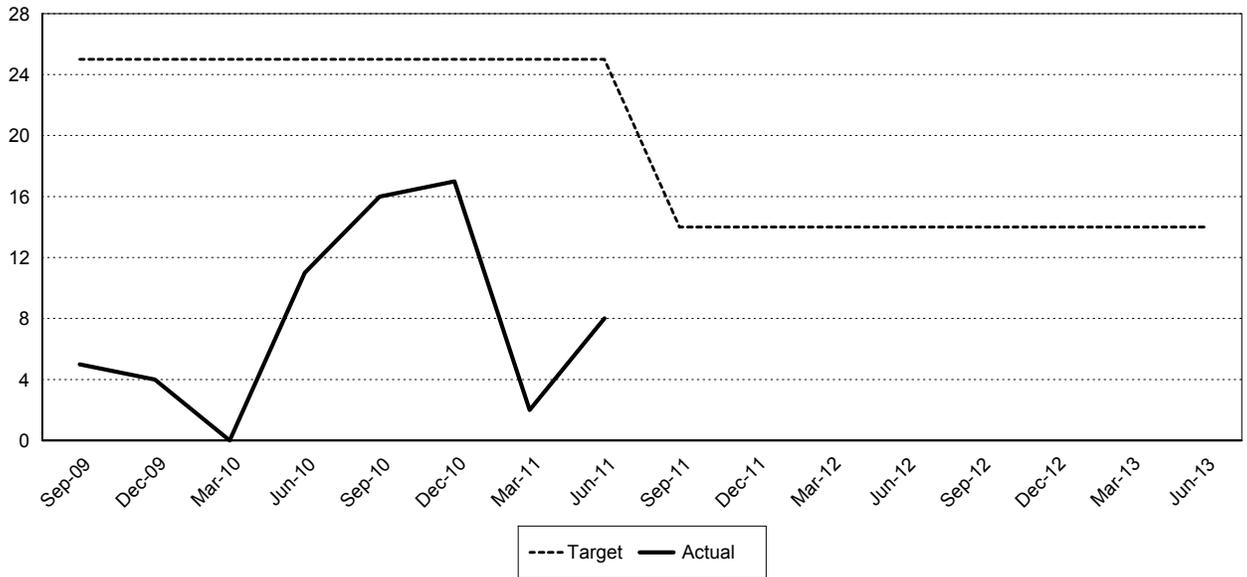


Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000347 Percentage of underage compliance visits resulting in a violation.			
Biennium	Period	Actual	Target
2011-13	Q8		14%
	Q7		14%
	Q6		14%
	Q5		14%
	Q4		14%
	Q3		14%
	Q2		14%
	Q1		14%
2009-11	Q8	8%	25%
	Q7	2%	25%
	Q6	17%	25%
	Q5	16%	25%
	Q4	11%	25%
	Q3	0%	25%
	Q2	4%	25%
	Q1	5%	25%

Percent

000347 - Underage Compliance



A002 General Enforcement and Criminal Intelligence Investigation

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

The Washington State Gambling Commission uses a combination of undercover and overt investigations to identify and seek prosecution of illegal gambling activities, cheating, theft, and racketeering.

The commission uses administrative rules and regulatory enforcement to ensure gambling is legal and honest, and requires that licensees maintain records that accurately document all gambling activity. Local jurisdictions rely on the commission's regulatory authority and these records to substantiate the millions in taxes collected annually. Absent the commission's activity in this area, this burden would fall entirely to local law enforcement.

Account	FY 2012	FY 2013	Biennial Total
FTE	66.8	56.0	61.4
141 Federal Seizure Account			
141-6 Non-Appropriated	\$913,000	\$896,000	\$1,809,000
884 Gambling Revolving Account			
884-6 Non-Appropriated	\$5,543,000	\$5,382,000	\$10,925,000
226 State Seizure Account			
226-6 Non-Appropriated	\$30,000	\$0	\$30,000

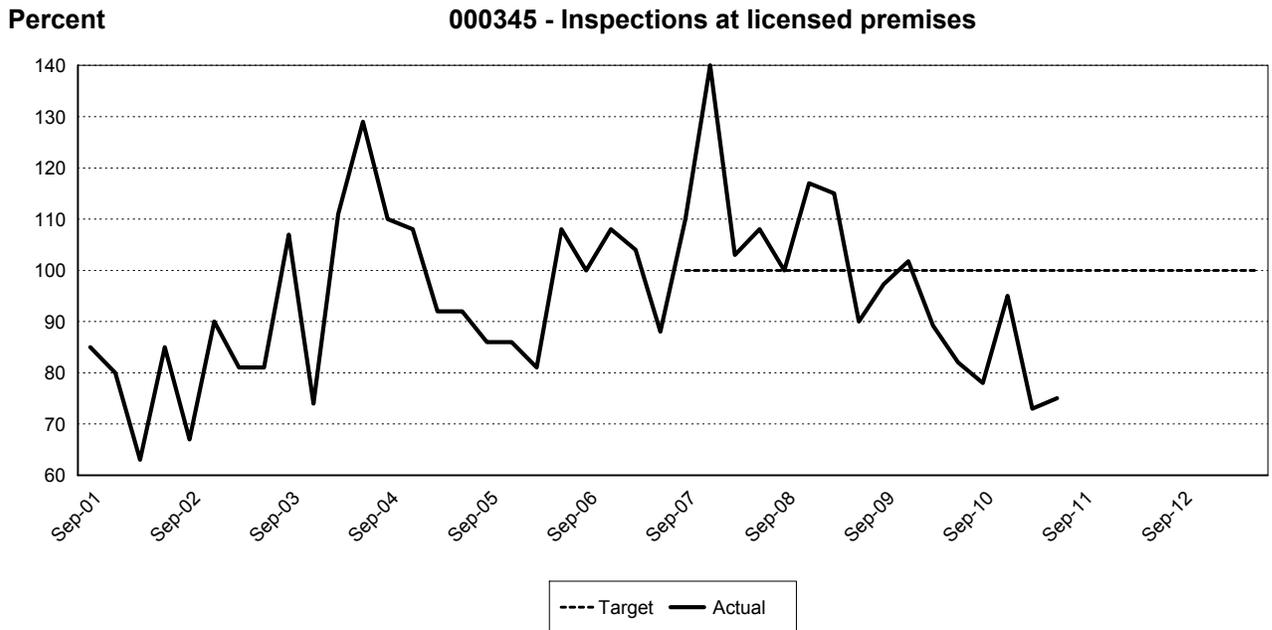
Statewide Result Area: Improve the safety of people and property
Statewide Strategy: Enforce the law

Expected Results

Ensuring gambling activities are operated legally and honestly.

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000345 Percentage of scheduled compliance inspections conducted at licensed premises by the Washington State Gambling Commission.			
Biennium	Period	Actual	Target
2011-13	Q8		100%
	Q7		100%
	Q6		100%
	Q5		100%
	Q4		100%
	Q3		100%
	Q2		100%
	Q1		100%
2009-11	Q8	75%	100%
	Q7	73%	100%
	Q6	95%	100%
	Q5	78%	100%
	Q4	82%	100%
	Q3	89.21%	100%
	Q2	101.77%	100%
	Q1	97.25%	100%
2007-09	Q8	90%	100%
	Q7	115%	100%
	Q6	117%	100%
	Q5	100%	100%
	Q4	108%	100%
	Q3	103%	100%
	Q2	140%	100%
	Q1	110%	100%



A003 Tribal-State Compact Negotiation, Regulation Program, and Investigations

Federal law requires the state to negotiate in good faith with Indian tribes to provide these sovereign nations the opportunity to engage in casino-type gambling activities that are allowed in some form in the state of Washington. The tribes are only allowed to engage in these activities through a compact with the state that is negotiated and regulated by the commission. The Washington State Gambling Commission provides training, tests gambling equipment, and completes inspections and investigations in cooperation with the tribes to assure gambling is conducted fairly and honestly.

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

Account	FY 2012	FY 2013	Biennial Total
FTE	56.0	63.0	59.5
141 Federal Seizure Account			
141-6 Non-Appropriated	\$611,000	\$590,000	\$1,201,000
884 Gambling Revolving Account			
884-6 Non-Appropriated	\$5,205,000	\$5,248,000	\$10,453,000
226 State Seizure Account			
226-6 Non-Appropriated	\$5,000	\$0	\$5,000

Statewide Result Area: Improve the safety of people and property

Statewide Strategy: Enforce the law

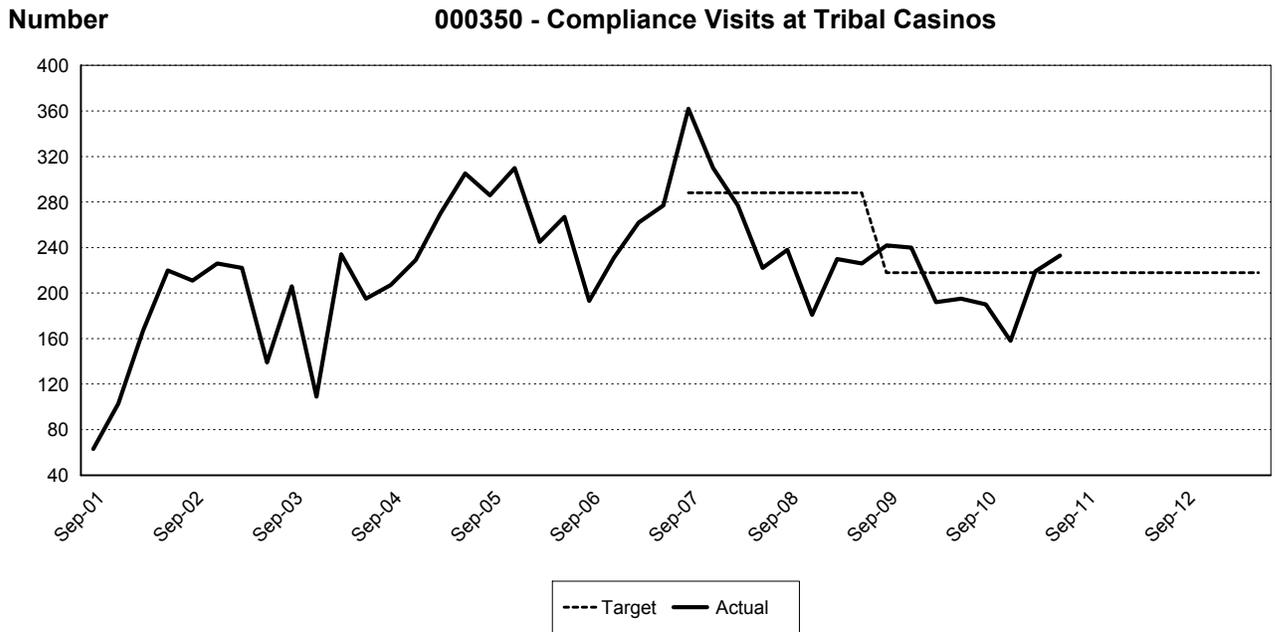
Expected Results

Ensuring gambling activities are operated legally and honestly.

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

000350 Number of compliance visits conducted at tribal casinos by the Washington State Gambling Commission.			
Biennium	Period	Actual	Target
2011-13	Q8		218
	Q7		218
	Q6		218
	Q5		218
	Q4		218
	Q3		218
	Q2		218
	Q1		218
2009-11	Q8	233	218
	Q7	219	218
	Q6	158	218
	Q5	190	218
	Q4	195	218
	Q3	192	218
	Q2	240	218
	Q1	242	218
2007-09	Q8	226	288
	Q7	230	288
	Q6	181	288
	Q5	238	288
	Q4	222	288
	Q3	277	288
	Q2	310	288
	Q1	362	288

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity



Grand Total

	FY 2012	FY 2013	Biennial Total
FTE's	157.0	154.0	155.5
GFS	\$0	\$0	\$0
Other	\$15,377,000	\$15,227,000	\$30,604,000
Total	\$15,377,000	\$15,227,000	\$30,604,000

Appropriation Period: 2011-13 Activity Version: 2C - Enacted Recast Sort By: Activity

<u>Parameter</u>	<u>Entered As</u>
Budget Period	2011-13
Agency	117
Version	2C - Enacted Recast
Result Area	All Result Areas
Activity	All Activities
Program	All Programs
Sub Program	All Sub Programs
Account	All Accounts
Expenditure Authority Type	All Expenditure Authority Types
Theme	All
Sort By	Activity
Display All Account Types	Yes
Include Policy Level	Yes
Include Activity Description	Yes
Include Statewide Result Area	Yes
Include Statewide Strategy	Yes
Include Expected Results Text	Yes
Include Charts	Yes
Chart Type	Line
Include Parameter Selections	Yes
Version Source	OFM