
Department of Transportation (DOT)

Agency: 405

Audit Report: 2012 F

Finding Number: 012

Finding: The Department of Transportation did not have sufficient internal controls to ensure reports required by the Federal Funding Accountability and Transparency Act are filed.

Resolution: The Department appreciates the auditor's work regarding the Statewide Single Audit. As originally reported, the "technical difficulties" encountered in filing the required Federal Funding Accountability and Transparency Act (FFATA) reports resulted when the Department made its initial attempts to file the FFATA reports in fiscal year 2012 and discovered that the grantor, the Federal Transit Administration (FTA), had not yet entered the award information in its computer system to allow the Department to enter subaward data and file the reports. In early 2013, the FTA input grant award information into the computer system; however, initially it did not appear that all award information was input.

As of April 2013, it appeared that the information required had been input; however, it had not yet been determined when subaward data must be input and for what FFATA reporting periods. The Department recently received clarification from the FTA on system availability to determine what award data must be input, and which reporting periods must be filed. The Department is now working with the FTA to file the necessary reports.

The Department continues to strengthen internal controls and will continue to work with the FTA until the required FFATA subaward data can be input and the reports can be filed.

Additionally, in response to the auditor's recommendations:

- The Public Transportation Division continues to implement new procedures to ensure FFATA reporting is filed timely.
- Procedures for FFATA reporting are in the final stages of development and will include appropriate training for employees. Procedures are targeted for completion by December 31, 2013.
- FFATA reporting has been assigned to one individual, with review and monitoring by another staff member and management.

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