
Department of Health (DOH)

Agency: 303

Audit Report: 6585 M

Finding Number: 009

Finding: The Department of Health and the Department of Social and Health Services, Health and Recovery Services Administration, are not ensuring compliance with federal law regarding hospital surveys.

Resolution: *Refer to page 22 for the joint response from the Departments of Health and Social and Health Services on this finding.*

Department of Health (DOH)

Agency: 303

Audit Report: 6585 M

Finding Number: 015

Finding: The Department of Health does not retain documentation that would provide evidence to ensure all home health agency providers performed criminal background checks and obtained disclosures on employees having unsupervised access to vulnerable adults and children, as the law requires.

Resolution: The Office of the Inspector General concurred with the auditor in an Audit Clearance Document: CIN A-10-06-85755. Both the Department and the auditor have reviewed this document and agree on the meaning and intent of the federal requirement.

To address this finding, the Department has begun to retain the pre-decisional documentation in accordance with federal requirements and will issue formal directives to its surveyors in order to maintain consistency in this process.

The Centers for Medicare and Medicaid Services concurs with the Department's plan to comply with federal regulations.

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Department of Health (DOH)

Agency: 303

Audit Report: 6585 M

Finding Number: 019

Finding: The agreement between the Department of Health and the Department of Social and Health Services, Health and Recovery Services Administration, covering hospitals' survey activities does not comply with federal requirements.

Resolution: *Refer to page 31 for the joint response from the Departments of Health and Social and Health Services on this finding.*

Department of Health (DOH)

Agency: 303

Audit Report: 6625

Finding Number: 001

Finding: The Department of Health has not established sufficient internal controls to safeguard gift cards used as incentives for participants in research studies done for the Centers for Disease Control and Prevention Investigations and Technical Assistance Program.

Resolution: The Department implemented improved storage, inventory, and reconciliation procedures for gift cards. Gift card inventory is now stored in the revenue office safe and issued to program managers on an as needed basis. Periodic surprise cash counts and reconciliations with inventory are now performed by the Department's accounting staff. These controls provide the necessary impetus for program managers to maintain accurate records for gift cards used as incentives in health related studies.

Individual program tracking logs and records have reconciled with accounting office records during the surprise cash counts and inventories of gift cards conducted during the past year.

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Department of Health (DOH)

Agency: 303

Audit Report: 6625

Finding Number: 002

Finding: The Department of Health is not complying with federal requirements for time and effort reporting for the Centers for Disease Control and Prevention Investigations and Technical Assistance grant.

Resolution: In response to this audit finding, the Department reformatted the time and effort reporting documentation process so that it is more clearly understood by employees and supervisors who charge their time to Centers for Disease Control related grants. Lowest level supervisors are now required by Department policy to provide completed time and effort certifications for their staff to the program finance and budget manager each quarter. The finance and budget manager reviews the certifications for completeness and accuracy. These controls will make certain that the program meets the federal time and effort requirements for employees charging 100% of their time to a single federal funding source.

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