
Department of Ecology (ECY)

Agency: 461

Audit Report: 6509

Finding Number: 001

Finding: The Department of Ecology is not complying with subrecipient monitoring requirements for the Clean Water State Revolving Loan Funds Program.

Resolution: The Department of Ecology's deputy director, chief financial officer, fiscal manager and senior financial advisor met with Water Quality (WQ) Program management team members on April 5, 2006, to discuss the audit finding and the corrective action plan and to emphasize the need to implement improvements in the subrecipient monitoring process in the regional and headquarters offices. The active workload spreadsheet used by staff in the WQ Program has been updated to handle dates and time frames for projects identified for increased oversight.

WQ Program staff have developed new project tracking forms for progress reports and payment requests that will be kept in each project file. The Payment Request Tracking Form includes the reason/determination for increased oversight, effective dates, payment request processing dates, check boxes for meeting requirements, and the method in which the WQ financial manager verified costs. The Progress Report Tracking Form provides detail on progress reports received or not received and site visit dates and documentation. These forms will continue to be updated as needed.

All corrective action was completed by April 14, 2006.

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